# UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

PATENT NO.

: 6,853,690 B1

Page 1 of 147

APPLICATION NO.: 09/525615

DATED

: February 8, 2005

INVENTOR(S)

: Sorrells et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page should be deleted and substitute therefor the attached title page as shown on the attached title page.

## Drawings

Please replace all of the drawings with the attached 144 pages of formal drawings.

# Column 5

In line 65, please replace "FIGS. 55A-D illustrates" with --FIGS. 55A-D, which includes FIGs. 55A, FIGs. 55B1-55B4, FIGs. 55C1-55C3, and FIG. 55D, illustrates--.

In line 67, after "invention;", please insert -- FIGs. 55B1-55B4 should be referred to for all references to FIG. 55B in the specification; FIGs. 55C1-55C3 should be referred to for all references to FIG. 55C in the specification; --.

### Column 6

In line 45, please replace "FIG. 70A illustrates" with -- FIG. 70A, which includes FIG. 70A1 and FIG. 70A2, illustrates --.

In line 46, after "invention,", please insert -- FIGs. 70A1 and 70A2 should be referred to for all references to FIG. 70 in the specification; --.

In line 52, please replace "FIG. 70E illustrates" with -- FIG. 70E, which includes FIG. 70E1 and 70E2, illustrates --.

# UNITED STATES PATENT AND TRADEMARK OFFICE **CERTIFICATE OF CORRECTION**

PATENT NO.

: 6,853,690 B1

Page 2 of 147

APPLICATION NO.: 09/525615

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: February 8, 2005

INVENTOR(S)

: Sorrells et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

# Column 6 (continued)

In line 53, after "invention;", please insert -- FIGs. 70E1 and 70E2 should be referred to for all references to FIG. 70 in the specification; --.

Signed and Sealed this

Twenty-second Day of January, 2008

JON W. DUDAS Director of the United States Patent and Trademark Office

# (12) United States Patent

Sorrells et al.

(10) Patent No.:

US 6,853,690 B1

(45) Date of Patent:

Feb. 8, 2005

(54) METHOD, SYSTEM AND APPARATUS FOR BALANCED FREQUENCY UP-CONVERSION OF A BASEBAND SIGNAL AND 4-PHASE RECEIVER AND TRANSCEIVER **EMBODIMENTS** 

(75) Inventors: David F. Sorrells, Jacksonville, FL (US); Michael J. Bultman, Jacksonville, FL (US); Robert W. Cook, Switzerland, FL (US); Richard C. Looke, Jacksonville, FL (US); Charley D. Moses, Jr., Jacksonville, FL (US); Gregory S. Rawlins, Lake Mary, FL (US); Michael W. Rawlins, Lake Mary, FL (US)

(73) Assignce: ParkerVision, Inc., Jacksonville, FL

(\*) Notice:

Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: 09/525,615

(22) Filed: Mar. 14, 2000

Related U.S. Application Data

Related U.S. Application Data
Provisional application No. 60/177,381, filed on Jan. 24, 2000, provisional application No. 60/177,705, filed on Dec. 22, 1999, provisional application No. 60/127,705, filed on Jan. 24, 2000, provisional application No. 60/128,839, filed on Apr. 16, 1999, provisional application No. 60/158,047, filed on Oct. 7, 1999, provisional application No. 60/171, 349, filed on Dec. 21, 1999, provisional application No. 60/177,702, filed on Jan. 24, 2000, provisional application No. 60/180,667, filed on Feb. 7, 2000, and provisional application No. 60/171,496, filed on Dec. 22, 1999.

(51) Int. Cl.<sup>7</sup> ...... H04L 27/04; H04L 27/12; H04L 27/20

(52) U.S. Cl. ...... 375/295; 375/298; 375/259; 375/256; 455/76; 455/91 (58) Field of Search .... ...... 375/295-296, 375/298, 309-312, 256, 259, 268; 455/118, 323, 313, 76, 91

#### References Cited (56)

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(List continued on next page.)

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OTHER PUBLICATIONS

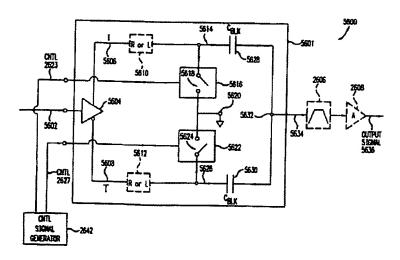
Simoni, A. et al., "A Single-Chip Optical Sensor with Analog Memory for Motion Detection," IEEE Journal of Solid-State Circuits, IEEE, vol. 30, No. 7, pp. 800-806 (Jul. 1995).

(List continued on-next page.)

Primary Examiner-Phuong Phu (74) Attorney, Agent, or Firm-Sterne, Kessler, Goldstein & Fox PLLC

#### **ABSTRACT**

A balanced transmitter up-converts a baseband signal directly from baseband-to-RF. The up-conversion process is sufficiently linear that no IF processing is required, even in communications applications that have stringent requirements on spectral growth. In operation, the balanced modulator sub-harmonically samples the baseband signal in a balanced and differential manner, resulting in harmonically rich signal. The harmonically rich signal contains multiple barmonic images that repeat at multiples of the sampling frequency, where each harmonic contains the necessary information to reconstruct the baseband signal. The differential sampling is performed according to a first and second control signals that are phase shifted with respect to each other. In embodiments of the invention, the control signals have pulse widths (or apertures) that operate to improve energy transfer to a desired harmonic in the harmonically rich signal. Abandpass filter can then be utilized to select the desired harmonic of interest from the harmonically rich signal. The sampling modules that perform the sampling can



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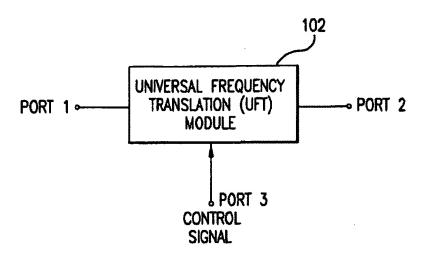
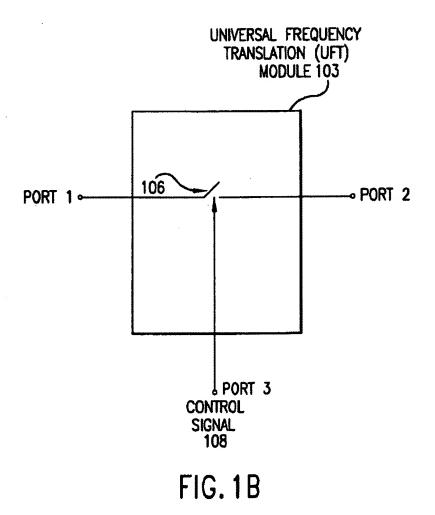


FIG. 1A



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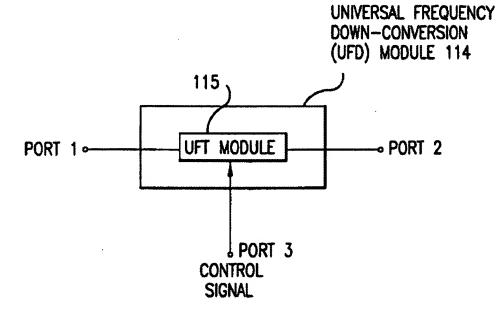
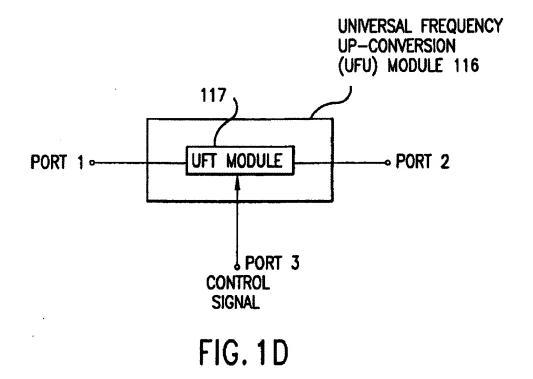


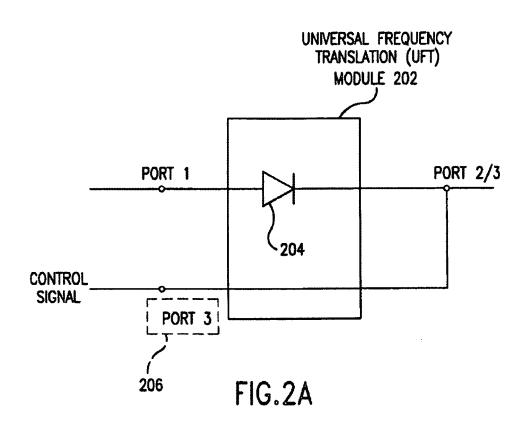
FIG. 1C



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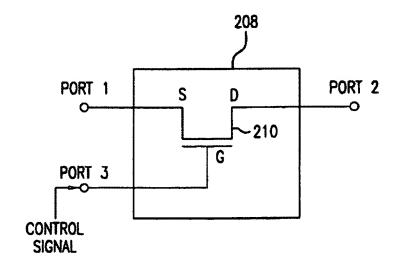
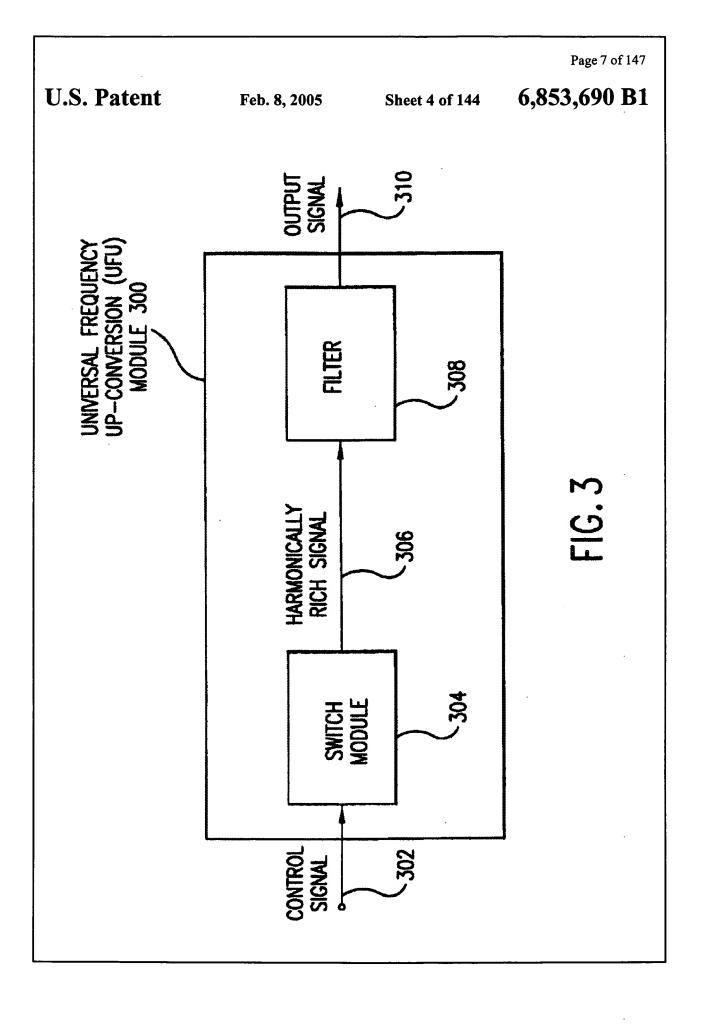
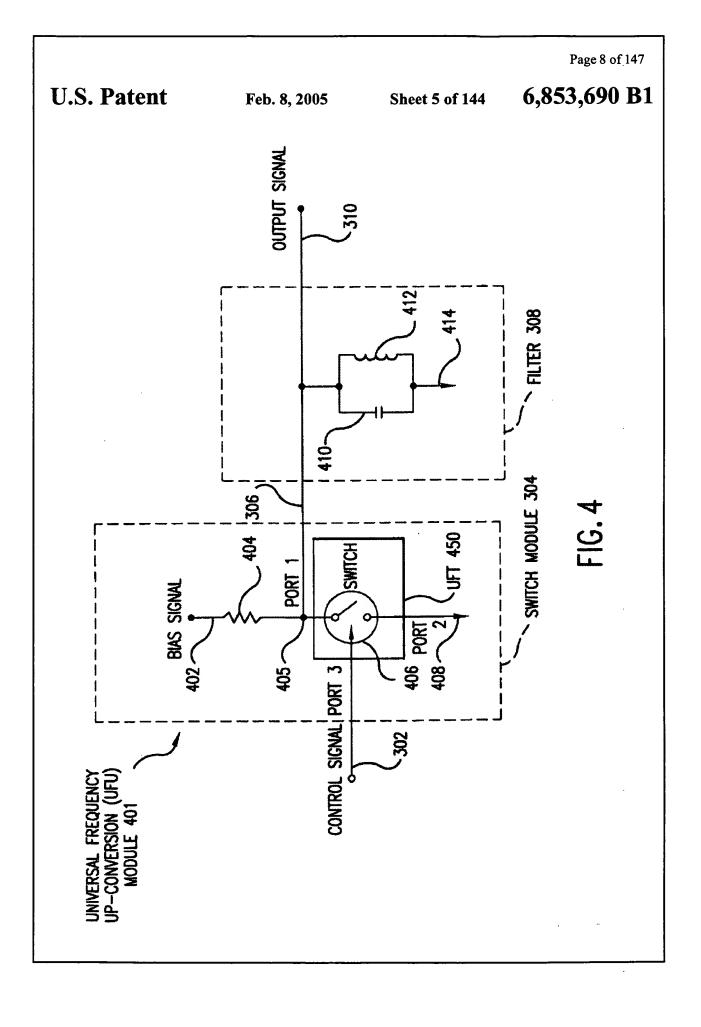
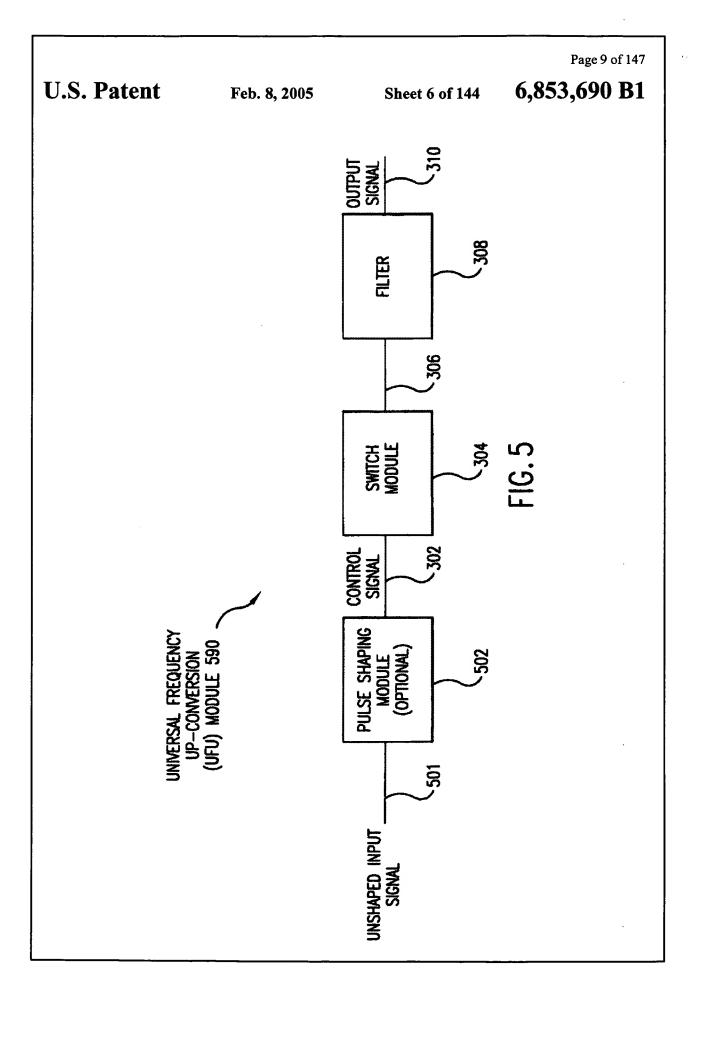
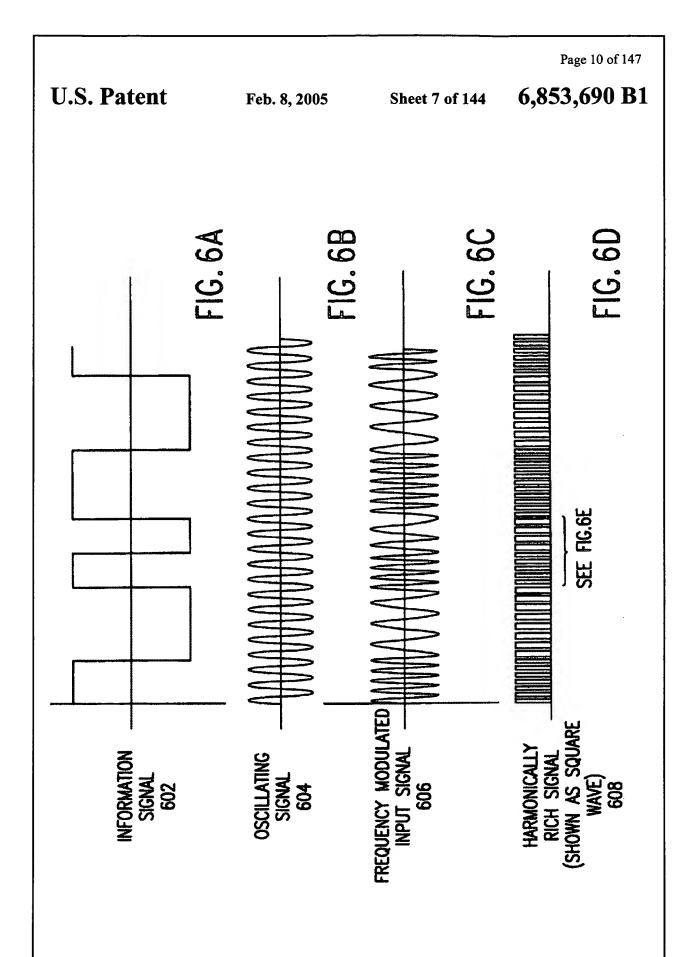


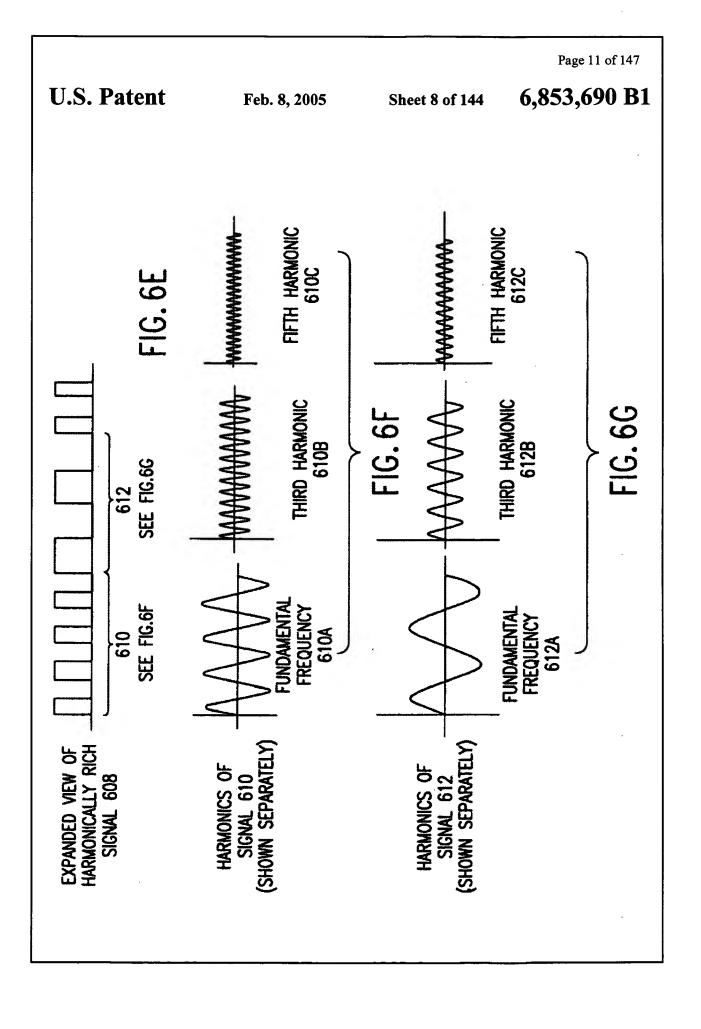
FIG.2B

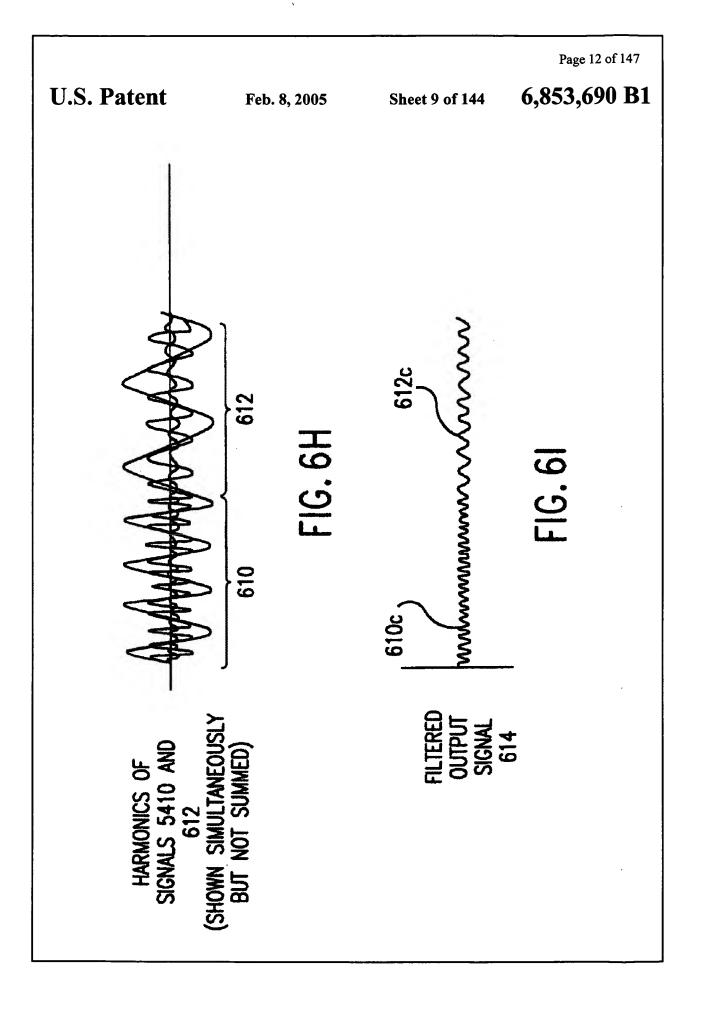












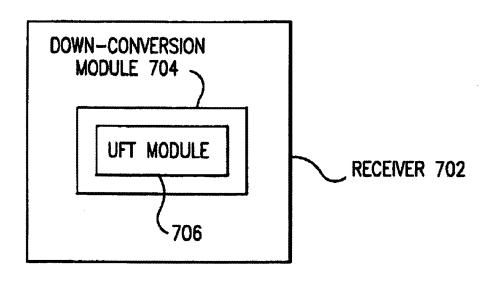


FIG. 7

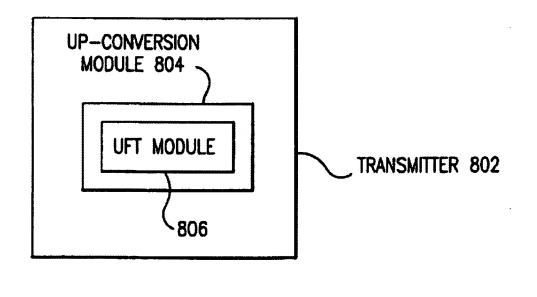


FIG.8

FIG. 10

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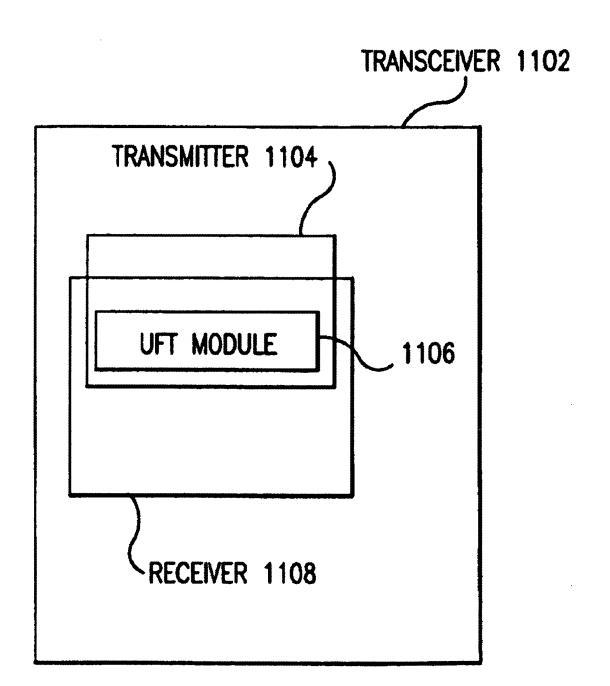
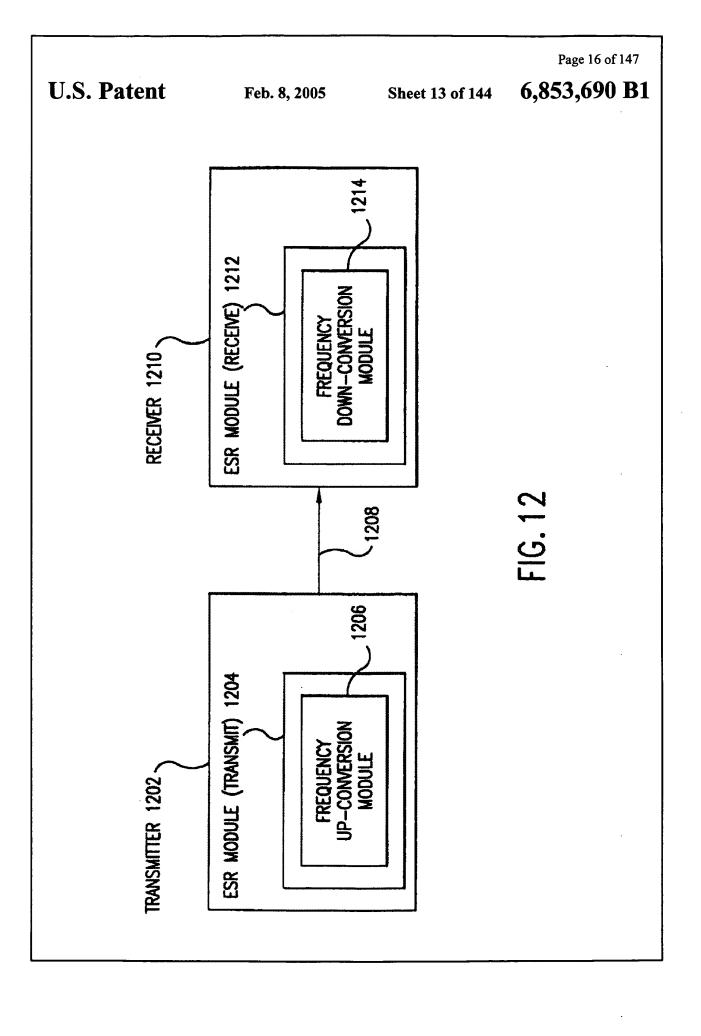


FIG. 11





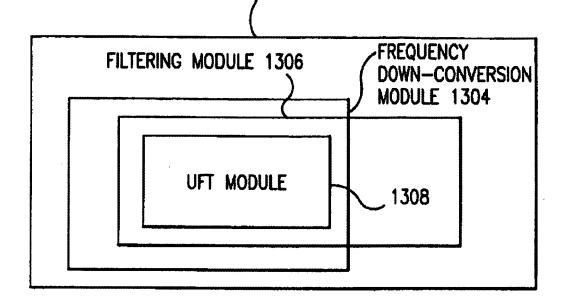


FIG. 13

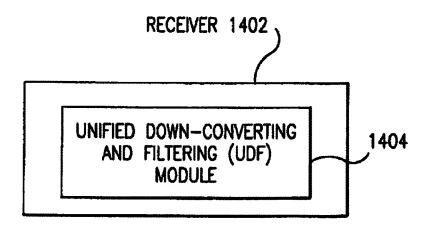
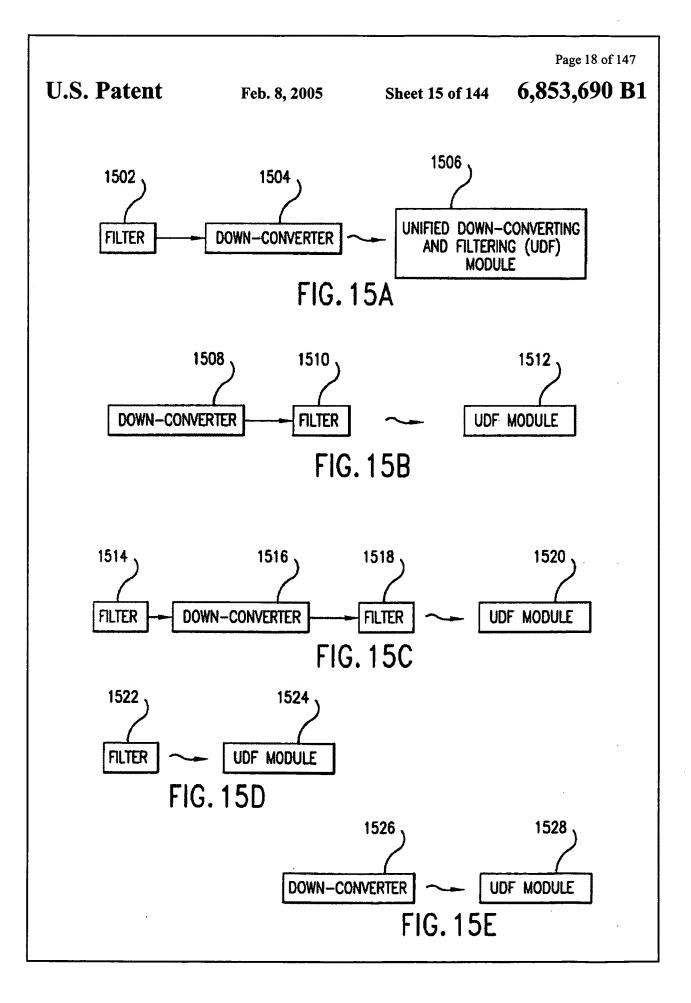


FIG. 14



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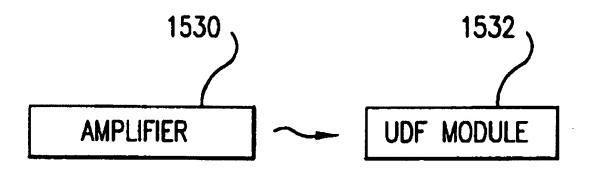
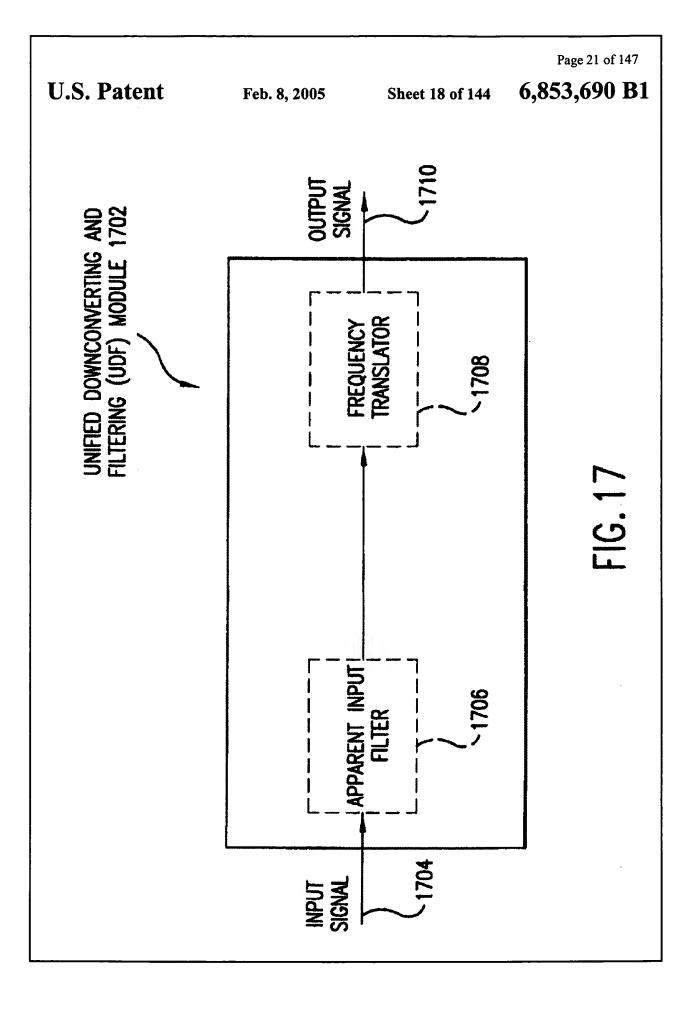


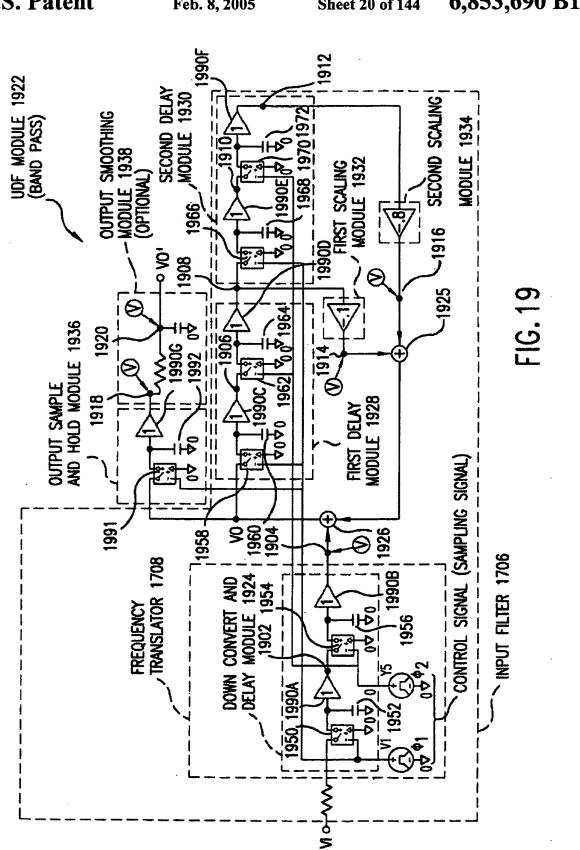
FIG. 15F

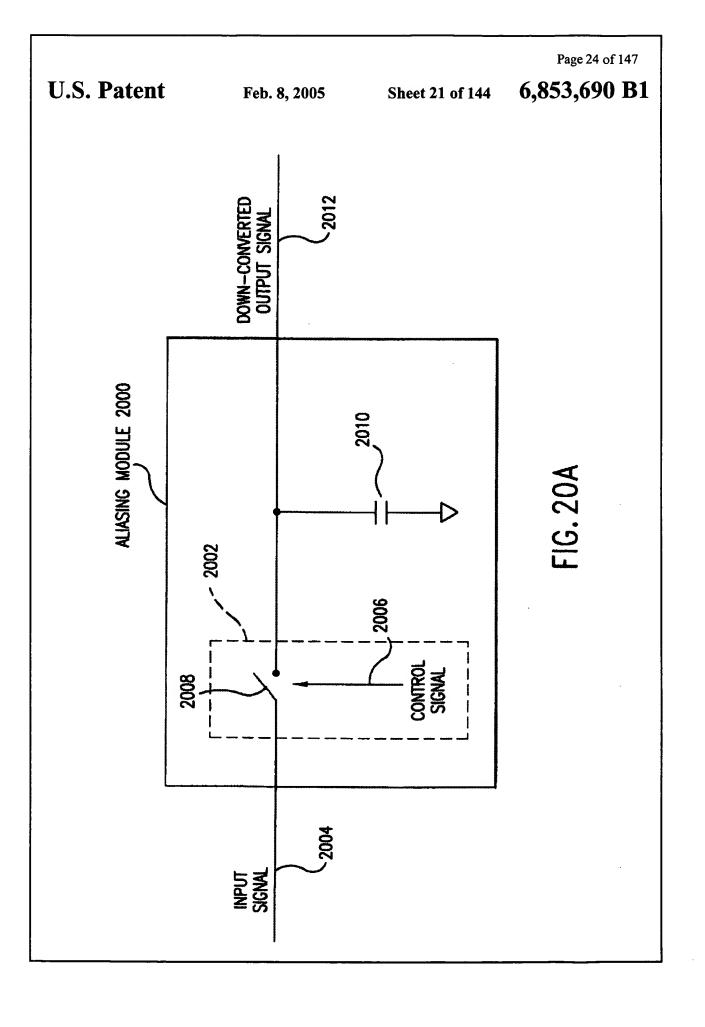


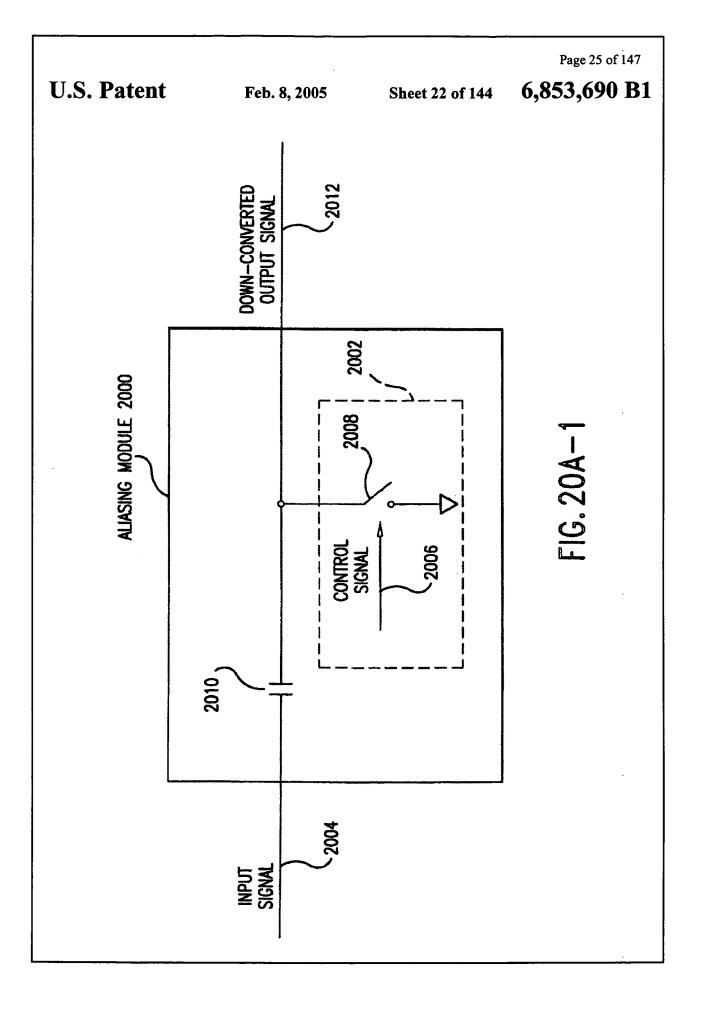
TIME	11	1-1				+		±-	
	(RISING EDGE OF 41)	SE (RISING EDGE   OF 42)	EDGE	(RISING EDGE OF 41)	EDGE	$ $ (Rising Edge $ $ OF $\phi_2$ )	EDGE	(RISING EDGE OF 41)	EDGE
1902	VI <sub>t-1</sub> 1804	4 Mt-1	1808	۷۱ <sub>t</sub>	1816	۷۱ <sub>t</sub>	1826	VI t+1	1838
1904	-	VI t−1	1810	VI t-1	1818	Mt	1828	۸ŧ	1840
1906	Vo <sub>t-1</sub> 1806	6 Vo <sub>t-1</sub>	1812	vot	1820	√0¢	1830	V0 <sub>t+1</sub>	1842
1908		Wo <sub>t−1</sub>	1814	W0t-1	1822	vo <sub>t</sub>	1832	₩.	1844
1910	<u> 1807</u>	_   _		1-1 <sub>0</sub>	1824	V0 <sub>t-1</sub>	1834	vo <sub>t</sub>	1846
1912		ı	1815	1		₩ <sub>t-1</sub>	1836	V0 <sub>t-1</sub>	1848
1918	1	1		1				VI <sub>t</sub> - 1850 0.1*VO <sub>t</sub> - 0.8*VO <sub>t</sub> - 1	1850 t-1

FIG. 18

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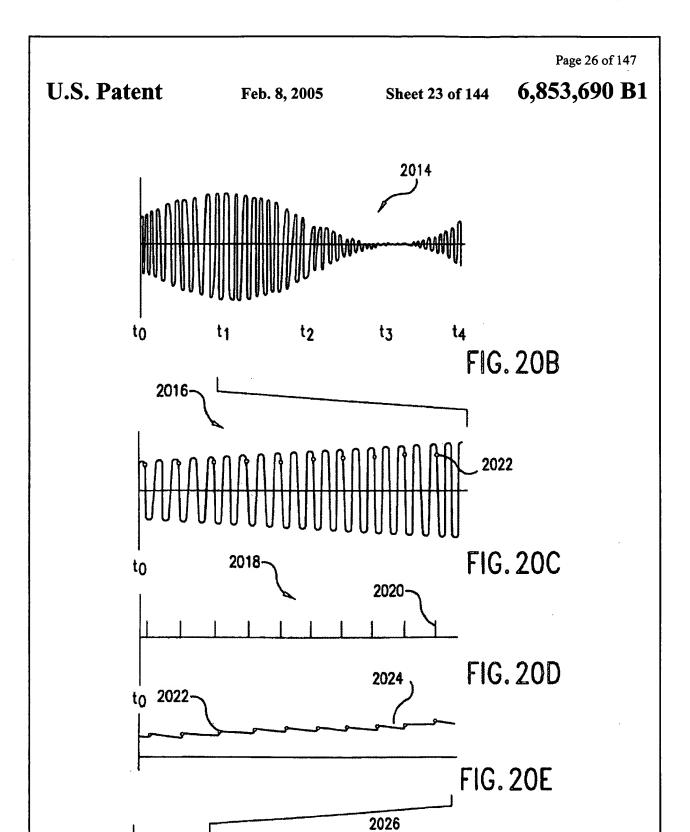
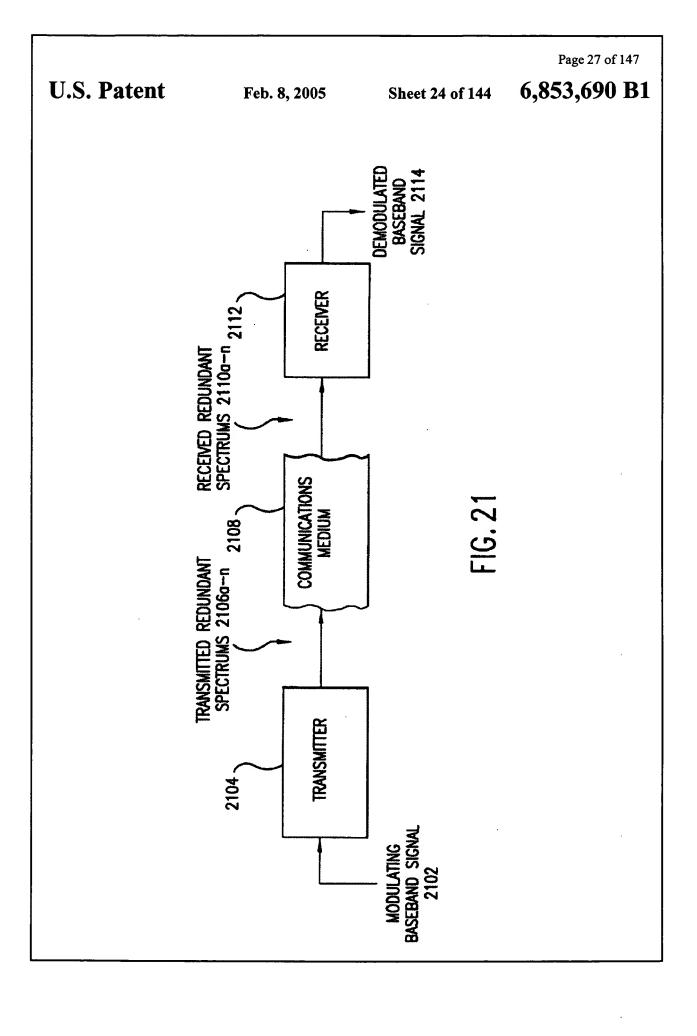
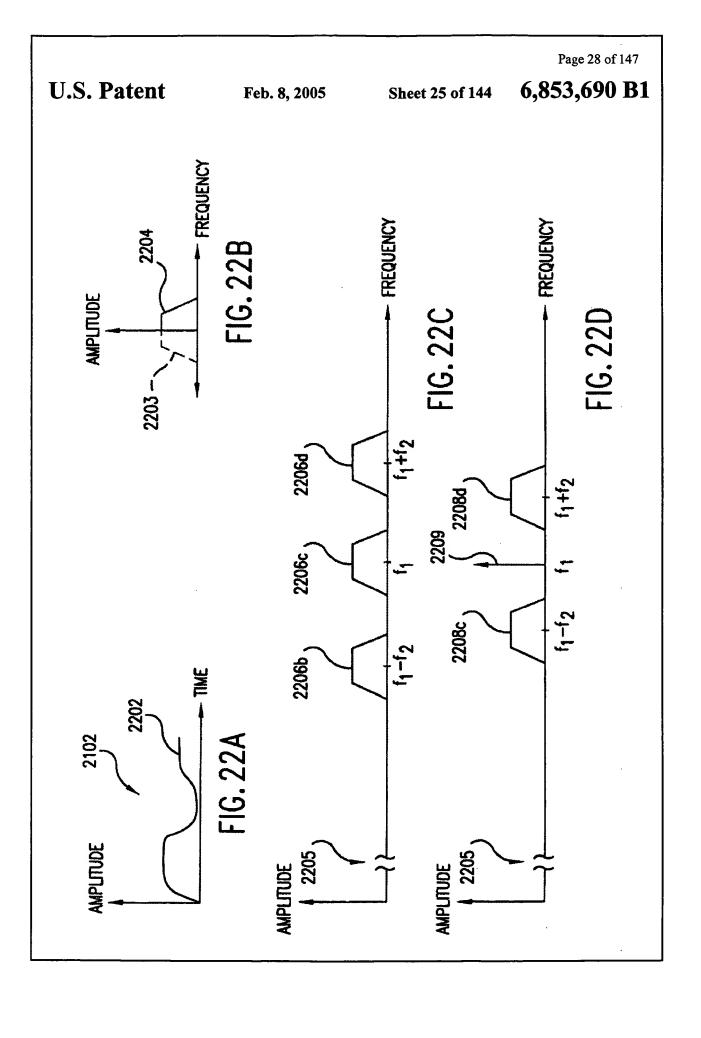
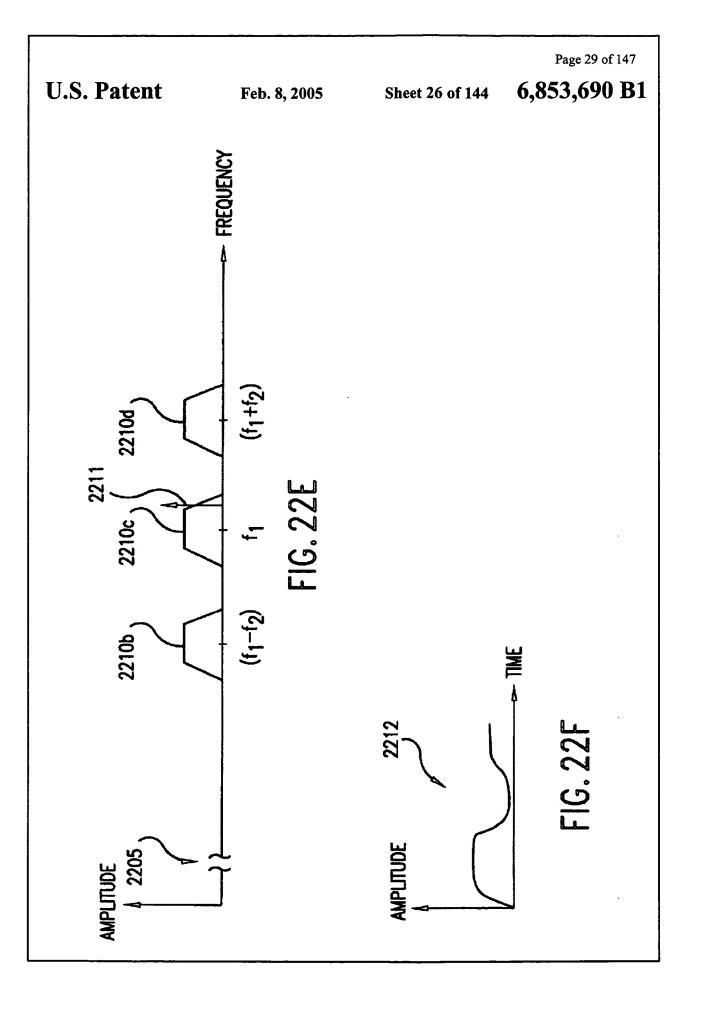
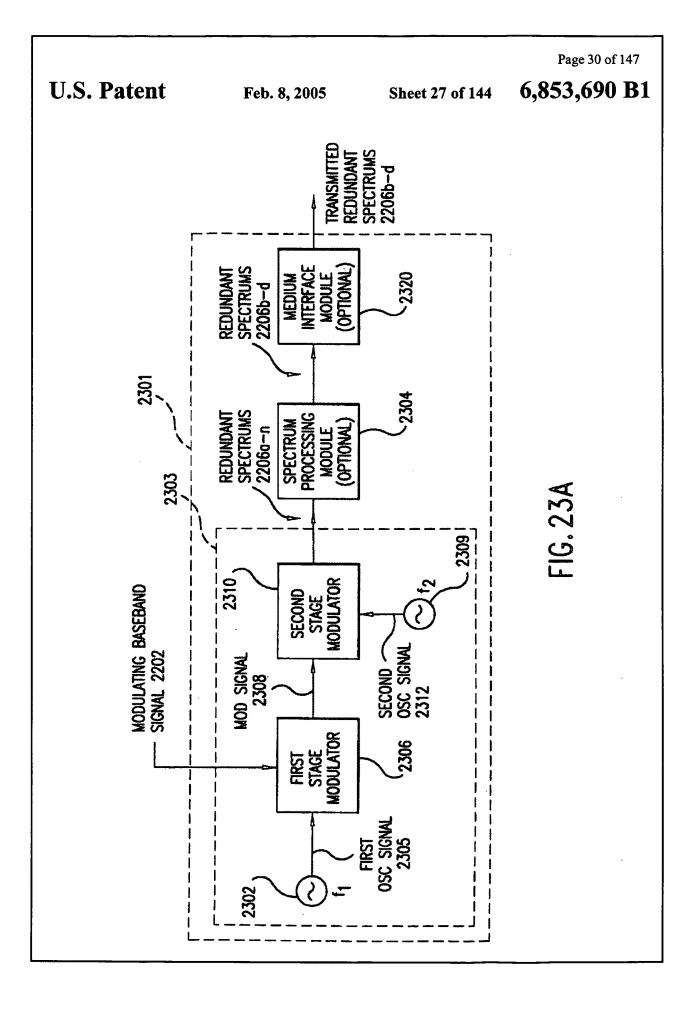


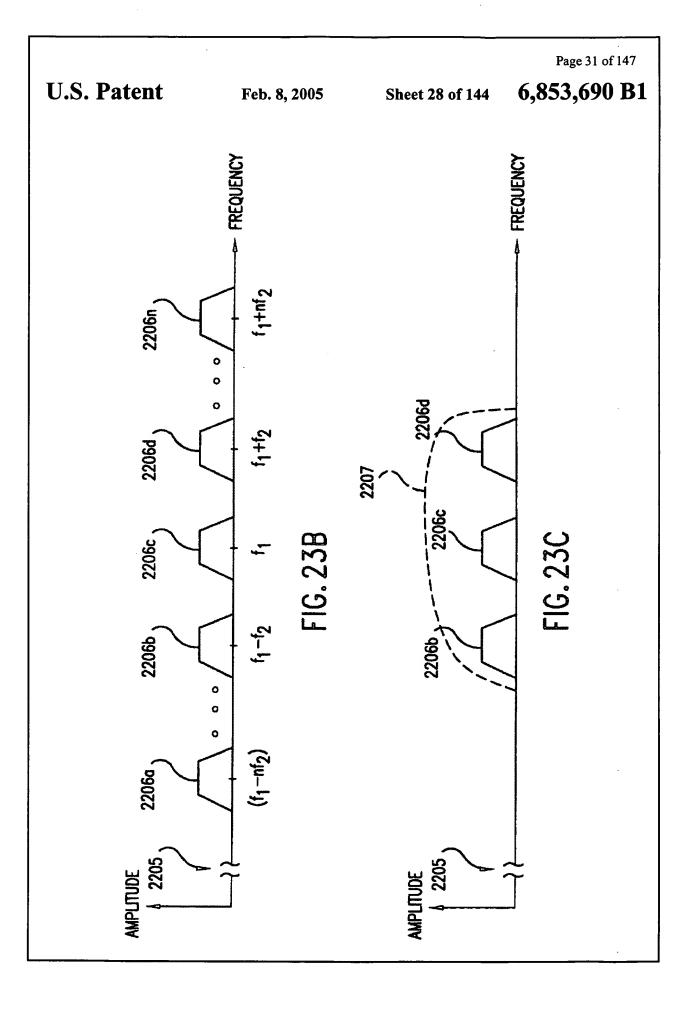
FIG. 20F

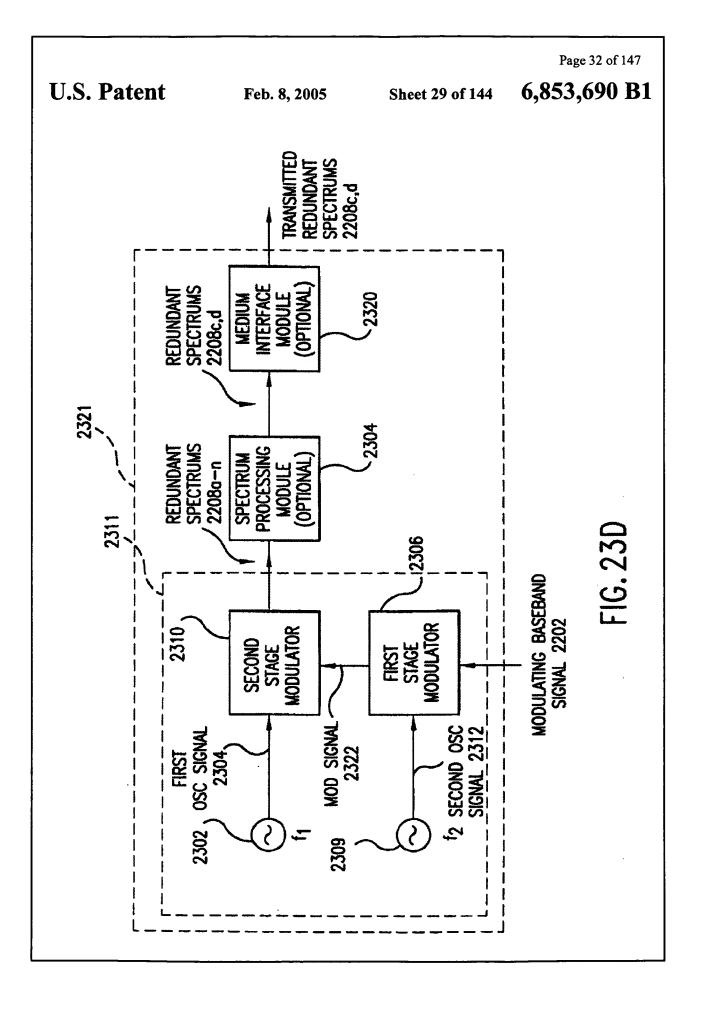


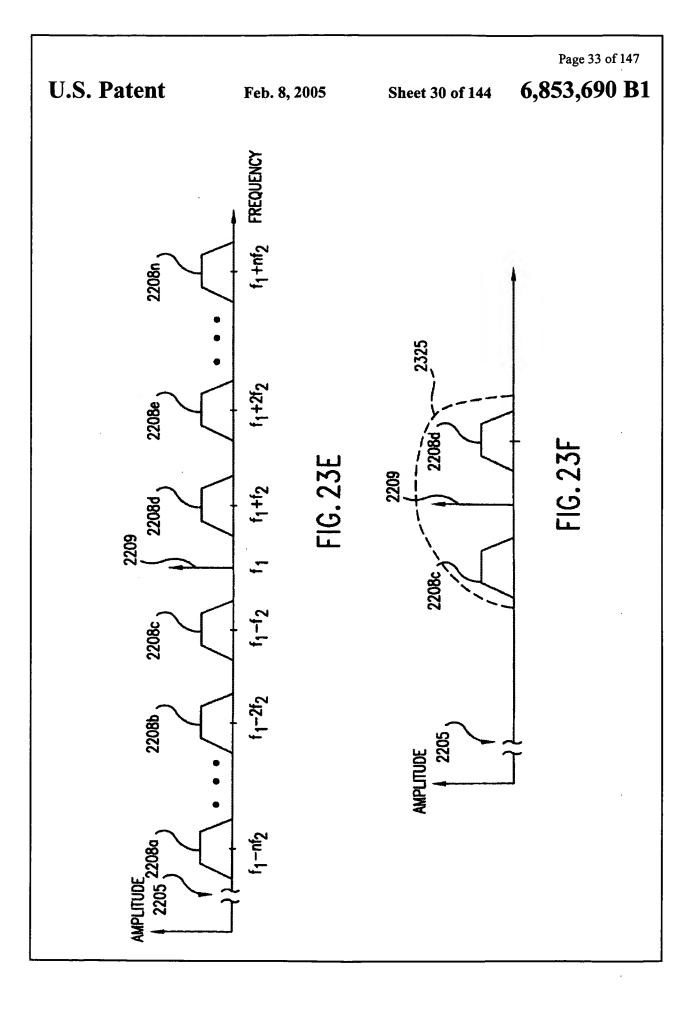


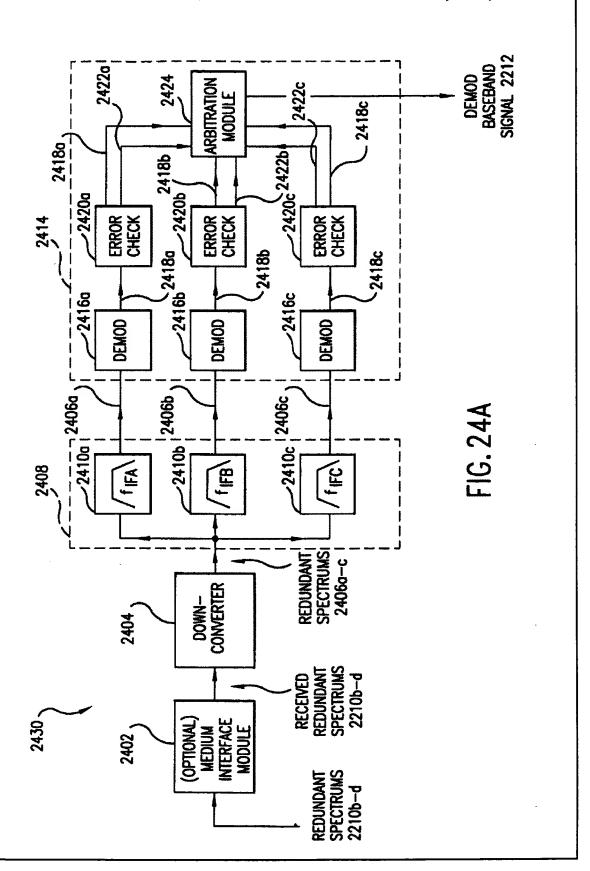


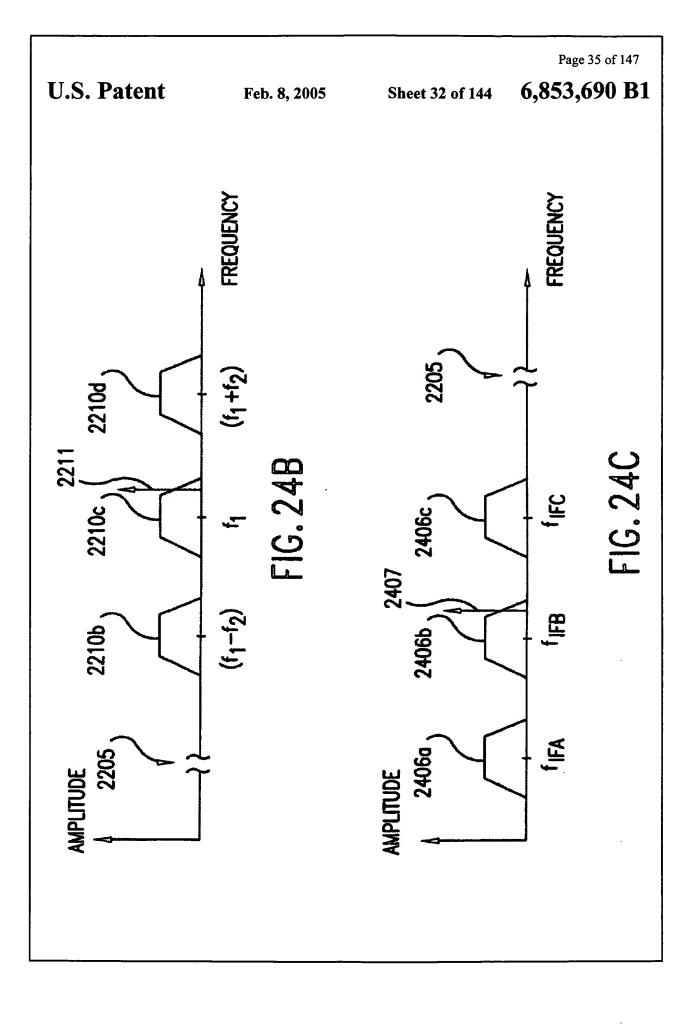


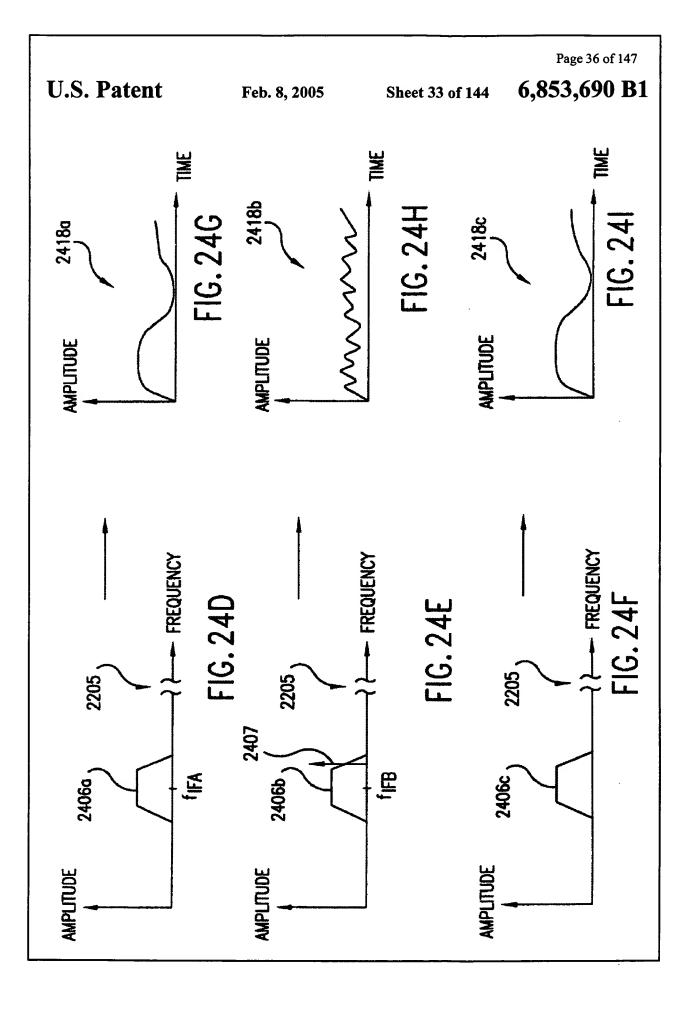












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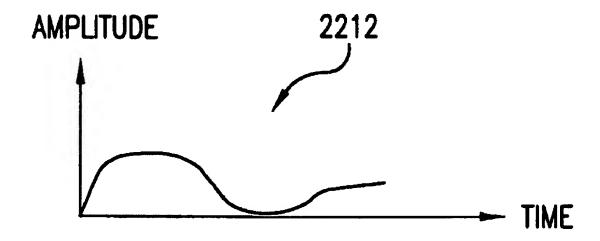
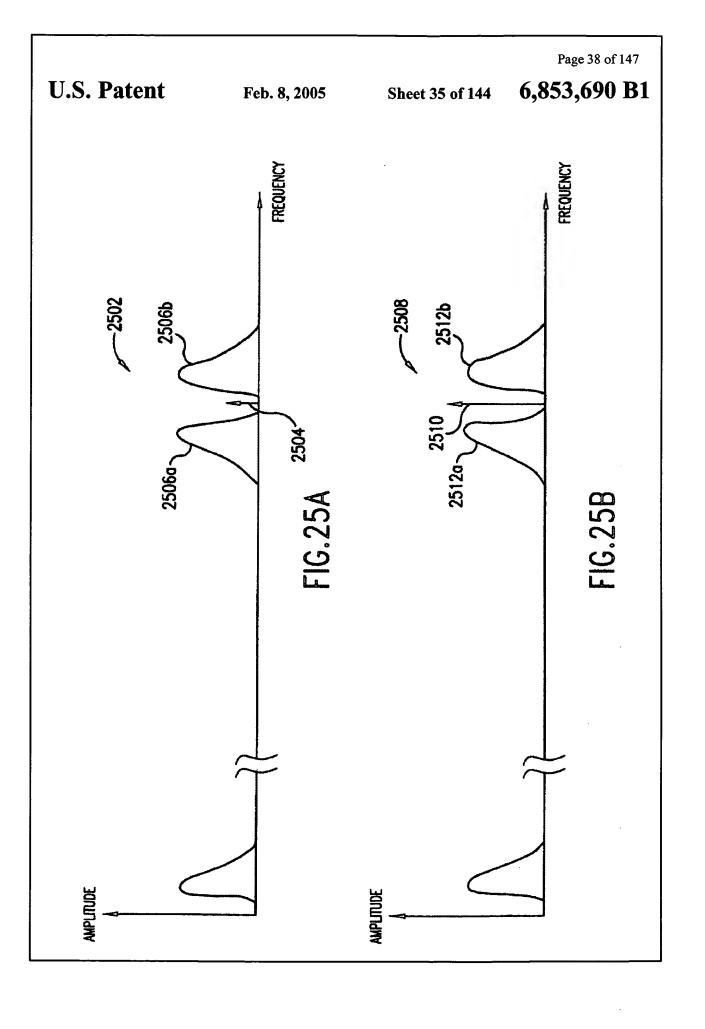
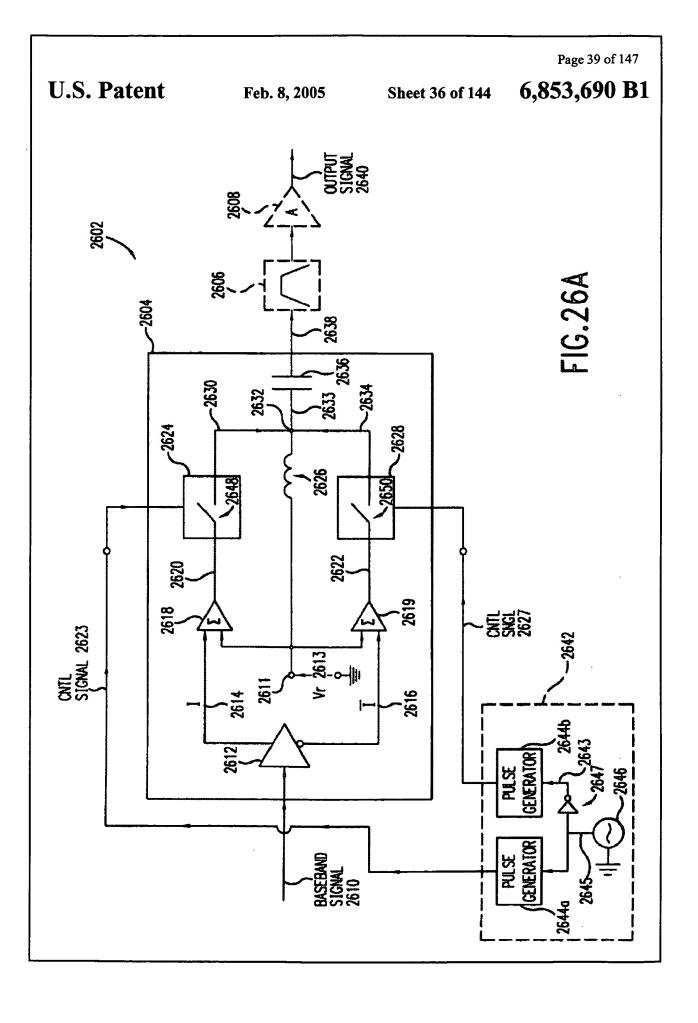
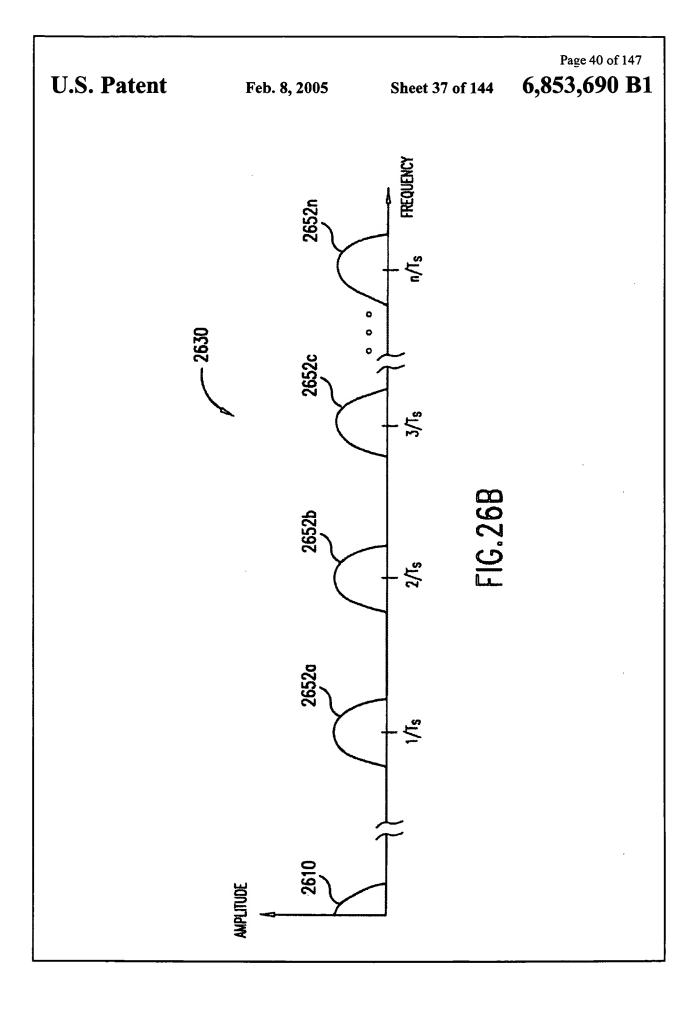
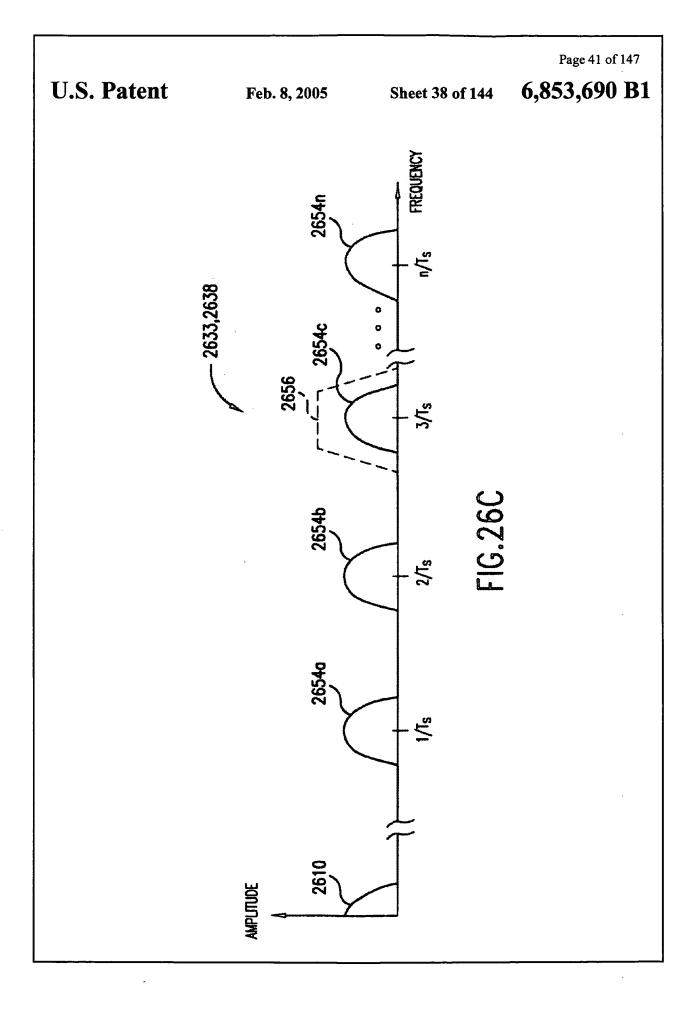


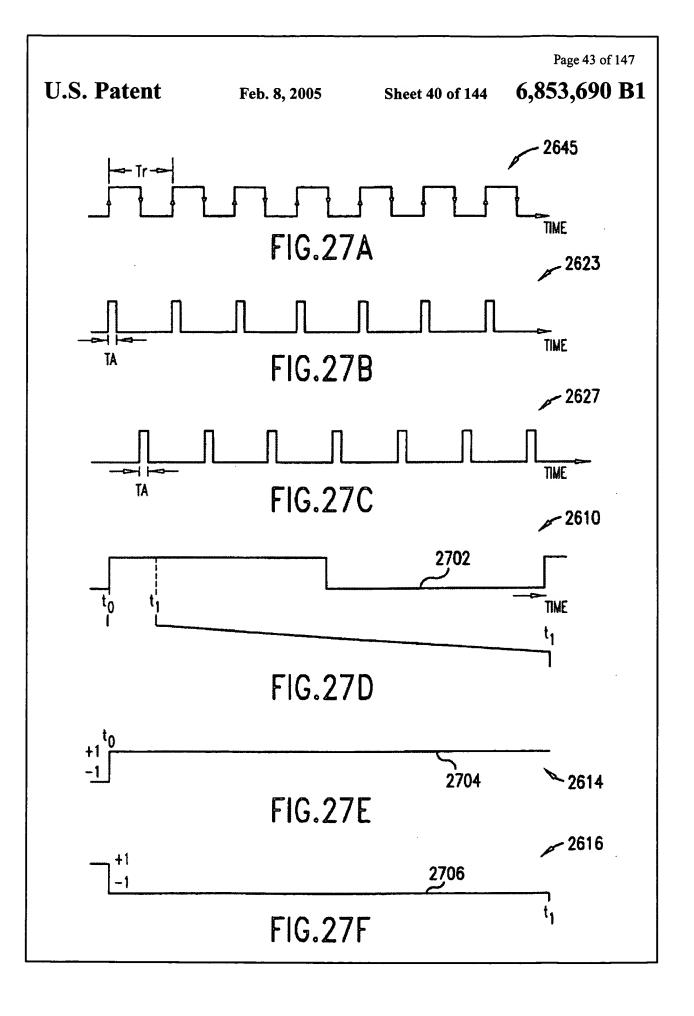
FIG. 24J

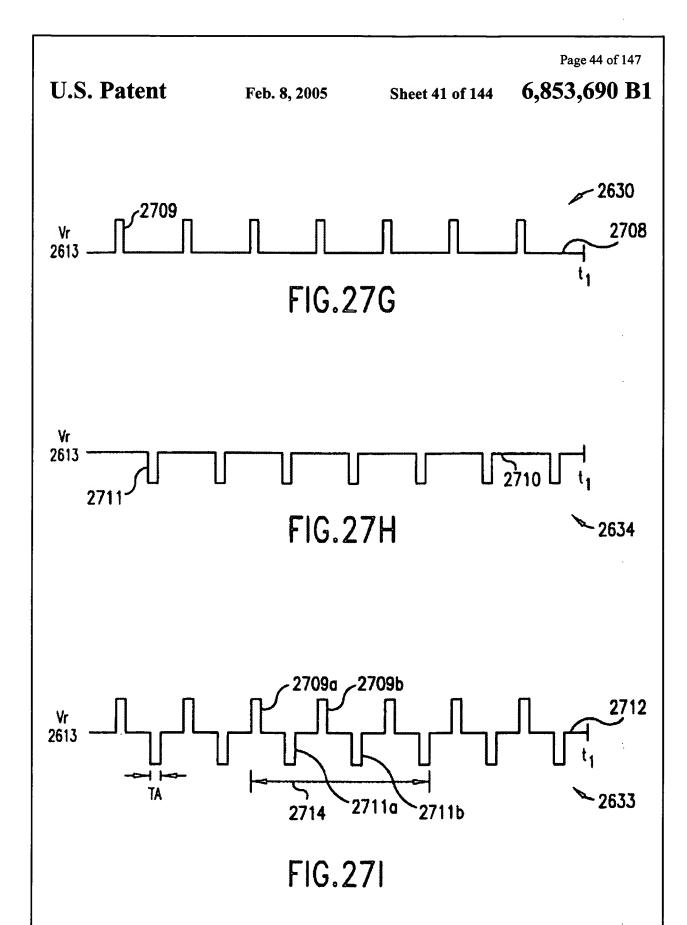


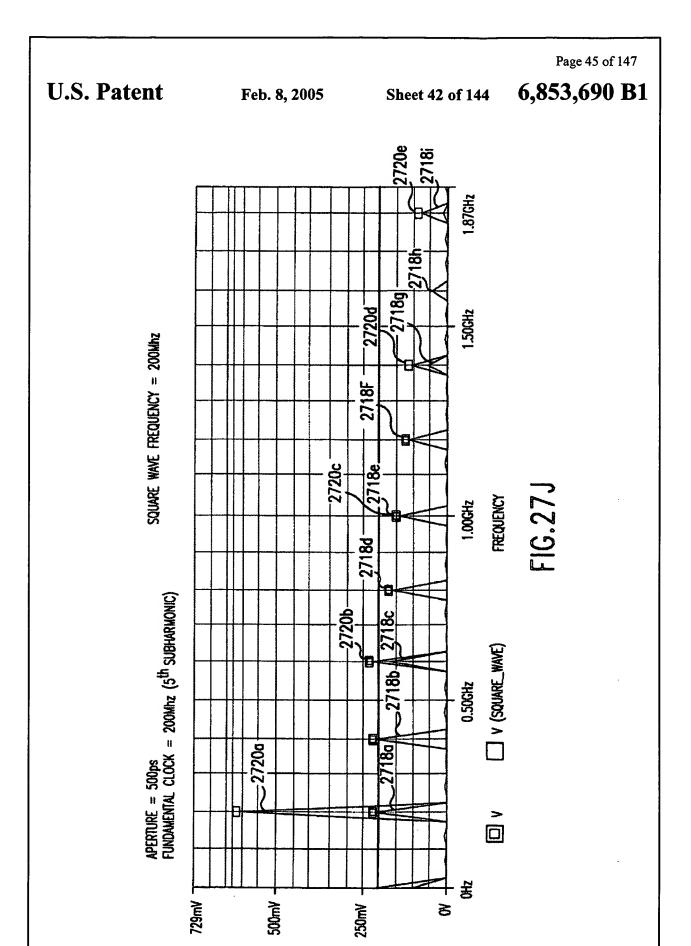


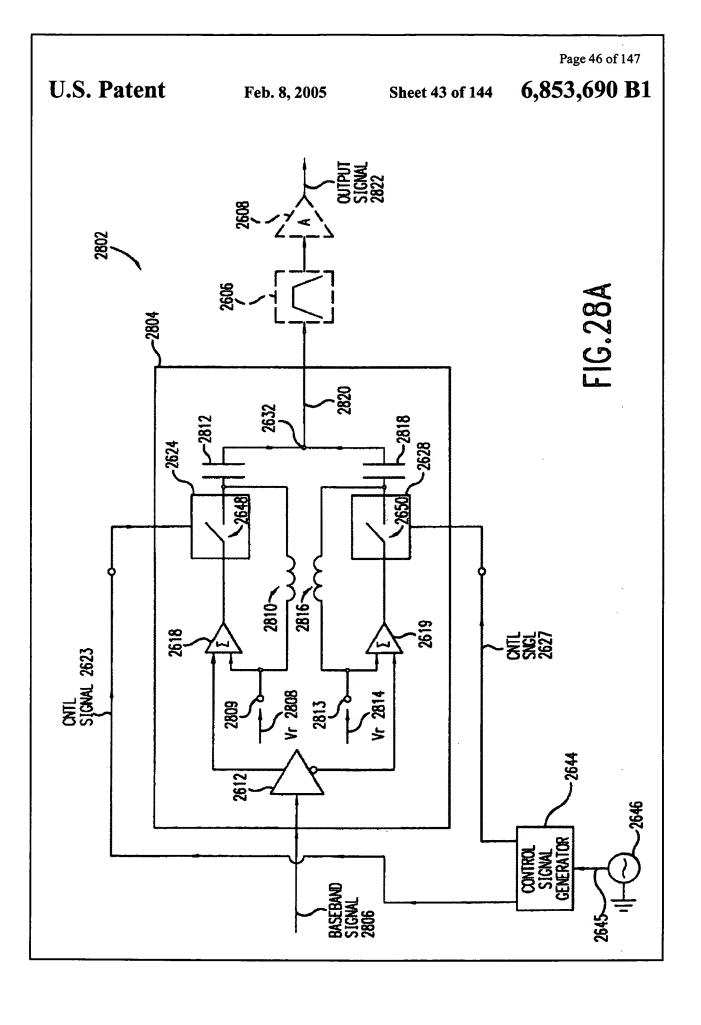


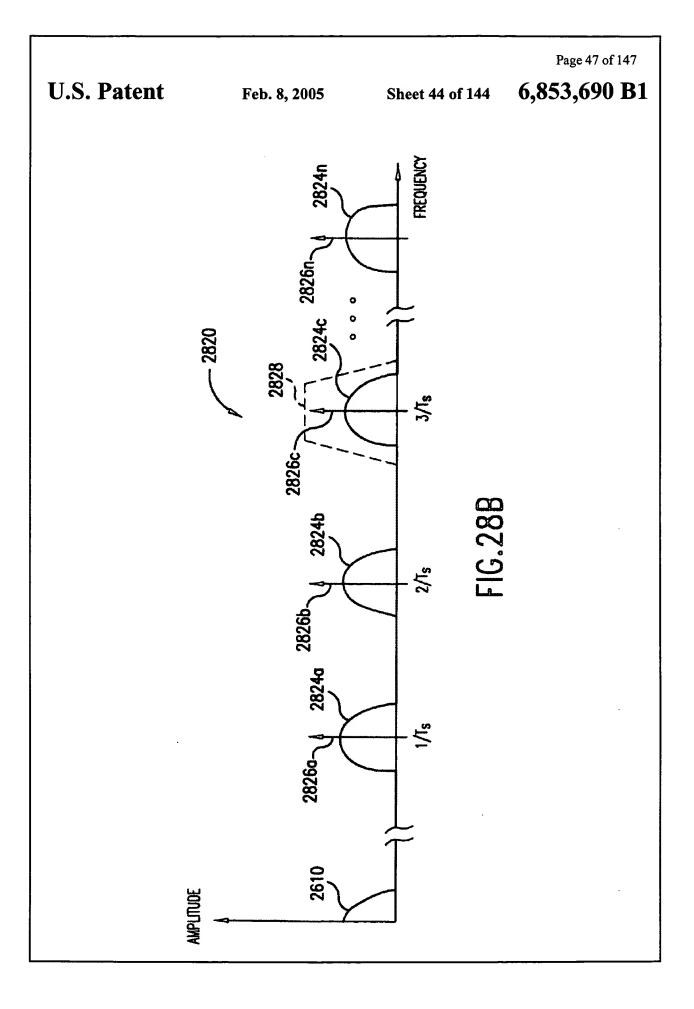


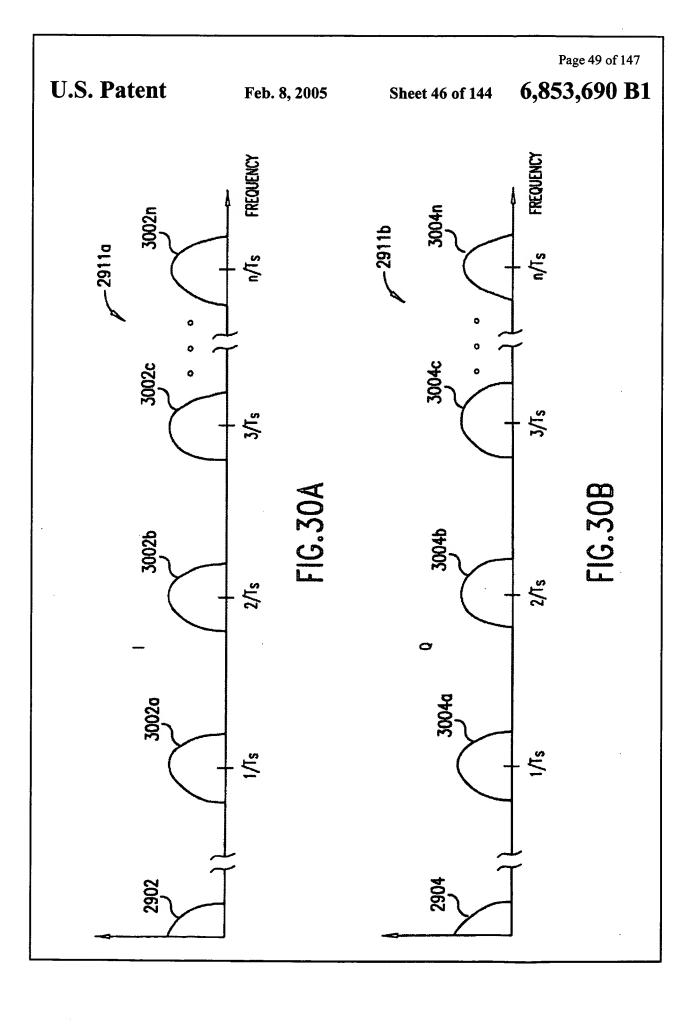












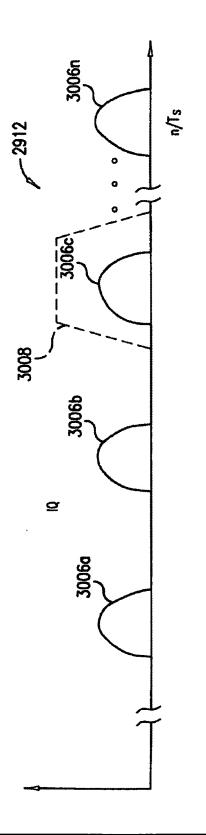
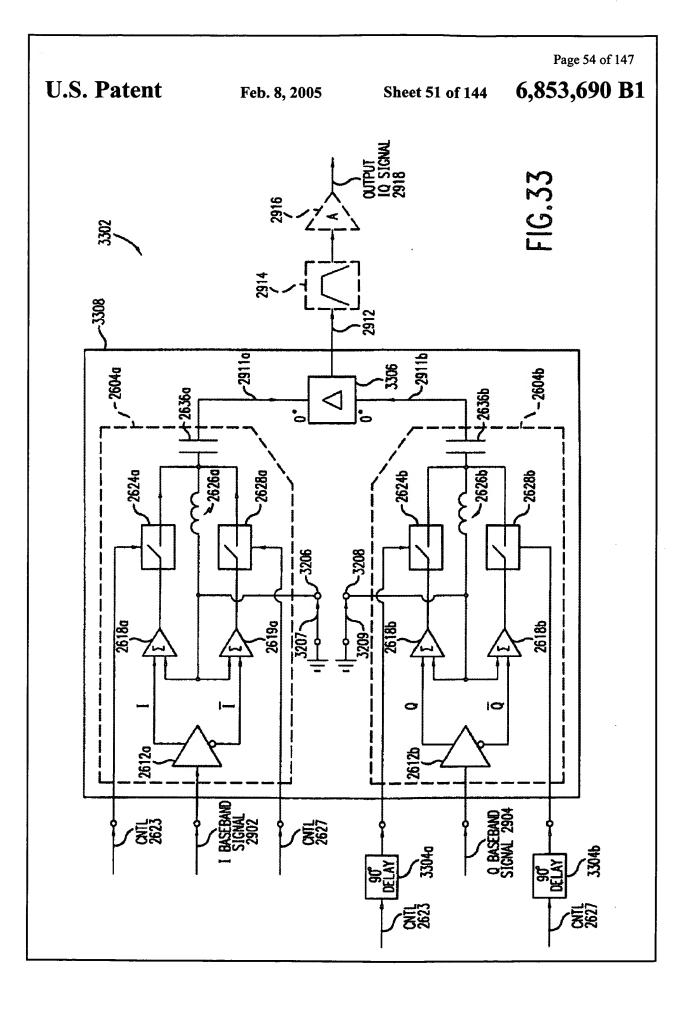
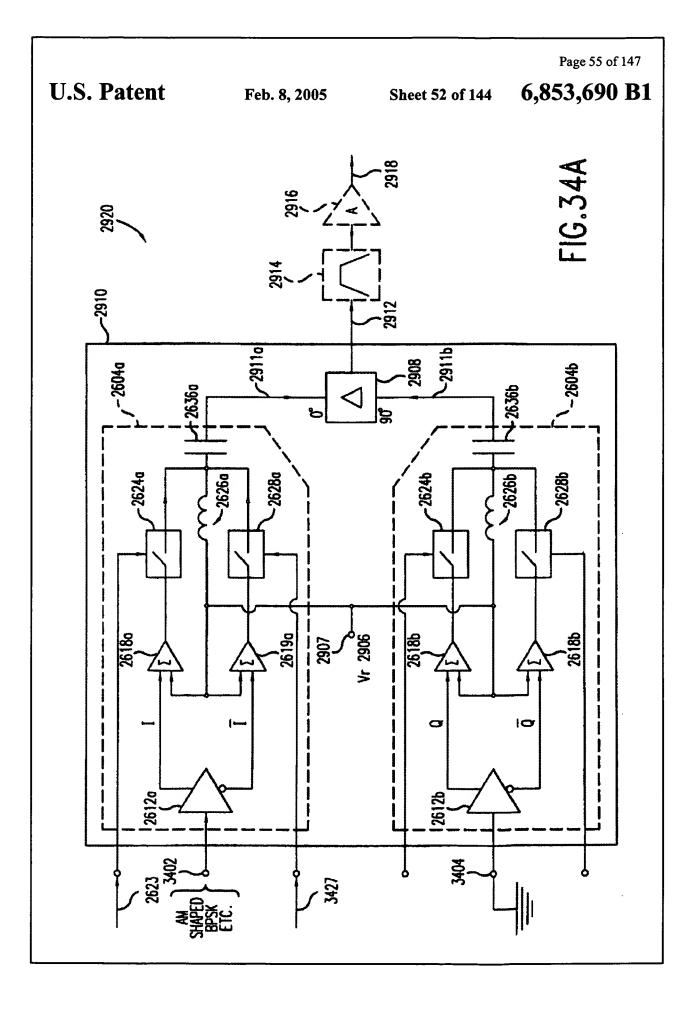


FIG.30C

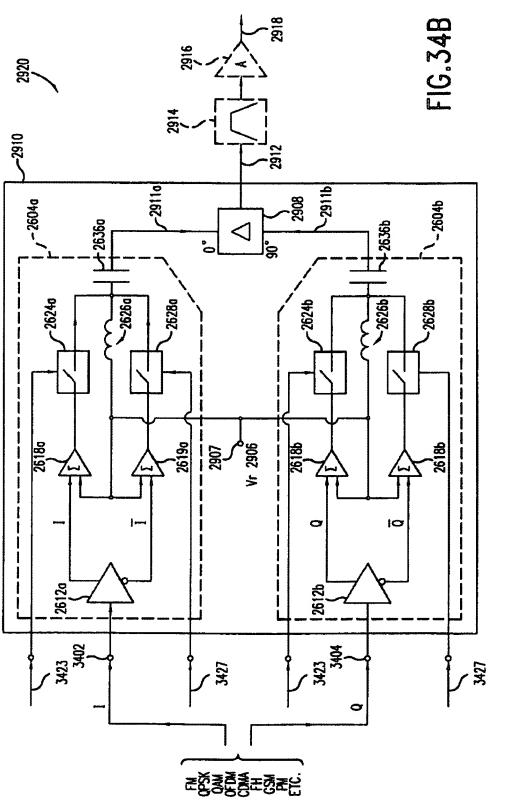




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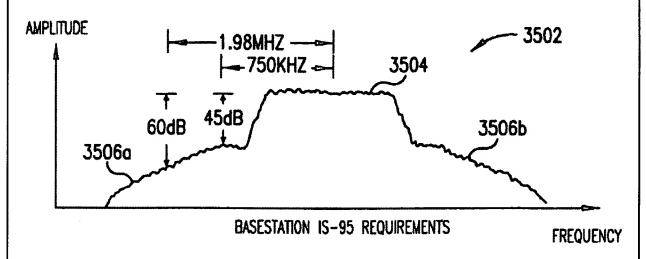


FIG.35A

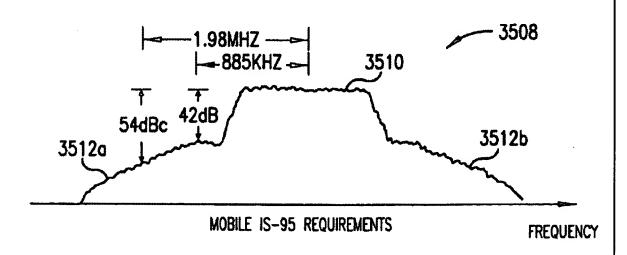
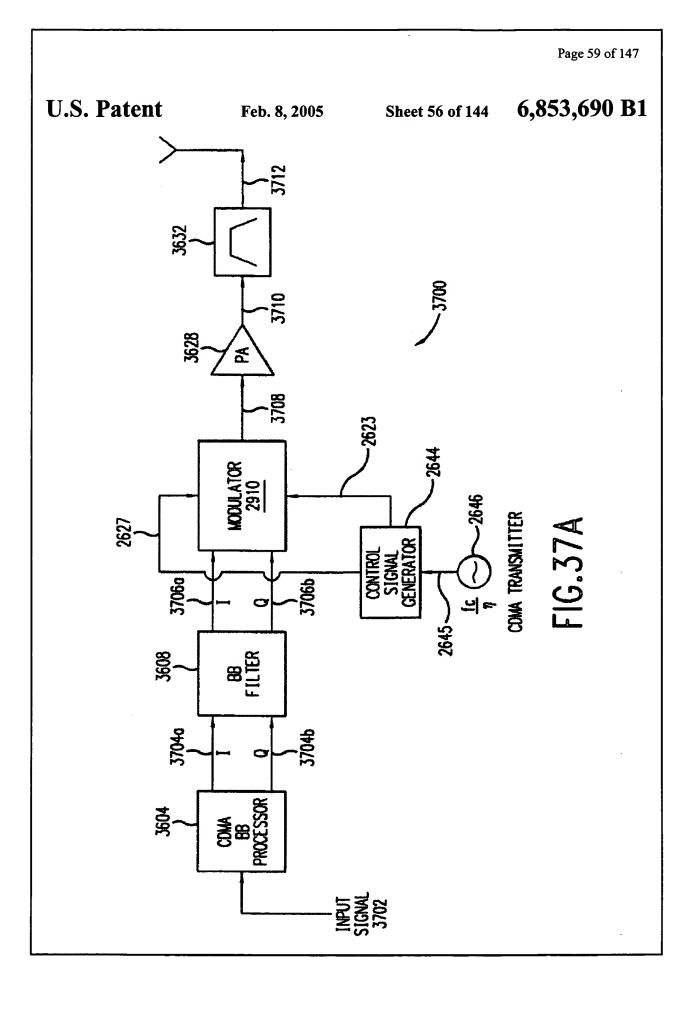
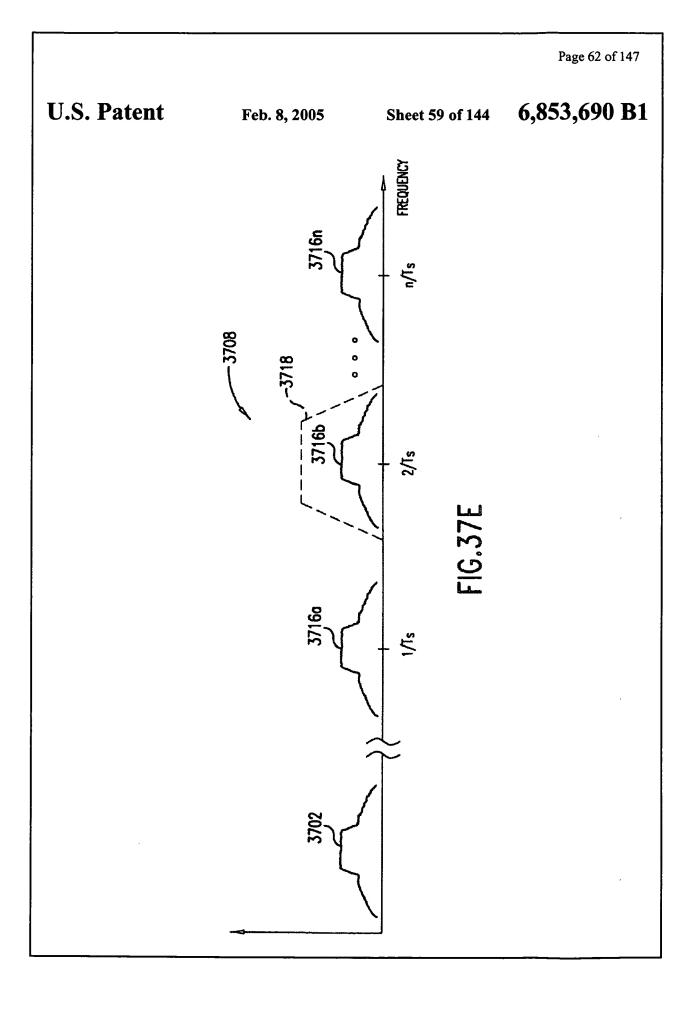
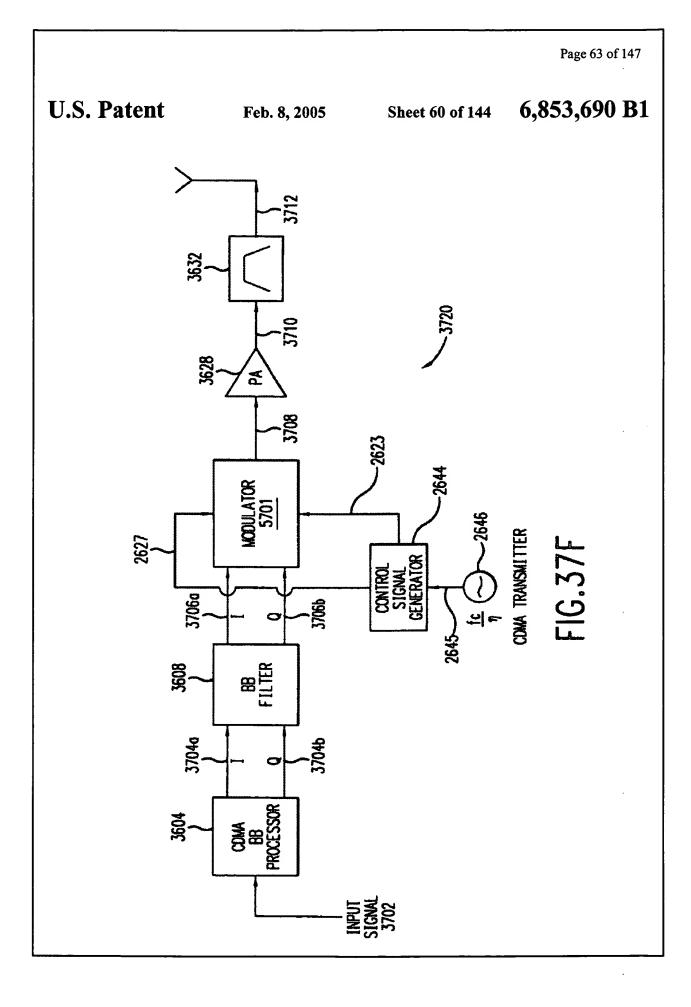


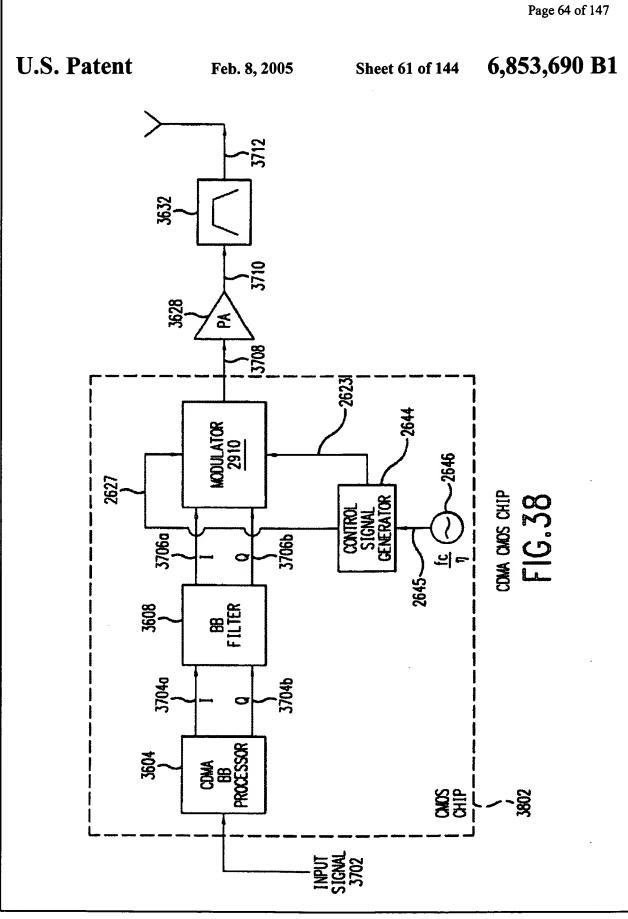
FIG.35B

Page 58 of 147 U.S. Patent 6,853,690 B1 Sheet 55 of 144 Feb. 8, 2005 L02 3625 COMPATIONAL TRANSHITTER
FIG. 36 3618 .5 ≅ FILTER









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4002 BASE STATION **RHO** 0.9970 **EVM** 5.51% 1.80° PHASE ERROR MAGNITUDE 4.53% **ERROR** CARRIER -37.91 dB **INSERTION** PA POWER OUT 28.06 dBm

FIG.40

FREQUENCY (MHz) (MOBILE STATION)

	FOM	MIDDLE	HIGH
RHO	0.9892	0.9969	0.9892
EVM	10.39%	5.54%	10.39%
PHASE ERROR	4.47°	2.240	4.08°
MAGNITUDE ERROR	6.84%	4.21%	8.27%
CARRIER INSERTION	-40.15 dB	-44.58 dB	-35.27 dB
PA POWER OUT	27.36 dBm	28.11 dBm	27.55 dBm

FIG.41

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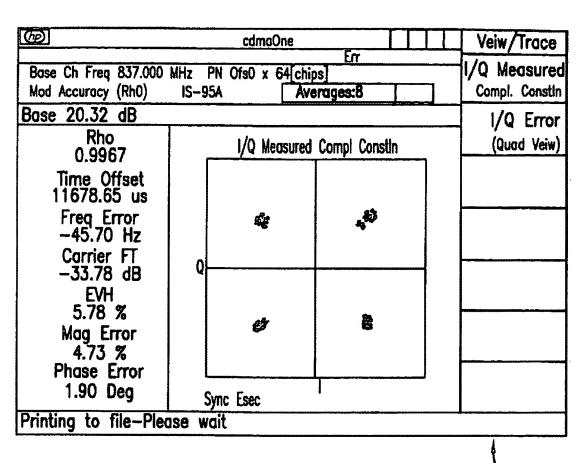
( <b>@</b> )	cdmaOne		Measure
Base Ch Freq 837.000 Mod Accuracy (Rh0)	MHz PN Ofs0 x 64[chips] IS-95A Averages:10		Channel Power
Rho 0.9970	I/Q Measured Compl V	i	Mod Accuracy (Rho)
Time Offset -6661.63 us			Code Domain
Freq Error —44.32 Hz Carrier FT			Spur Close
-37.91 dB EVH			Spectrum (Freq Domain)
5.51 % Mag Error 4.53 %			Waveform (Time Domain)
Phase Error 1.80 Deg	Sync Esec		ACPR
Printing to file-Plea	ıse wait		

BASE STATION CONSTELLATION FOR PILOT CHANNEL TEST FIG.42 4202

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BASE STATION SAMPLED CONSTELLATION

FIG.43

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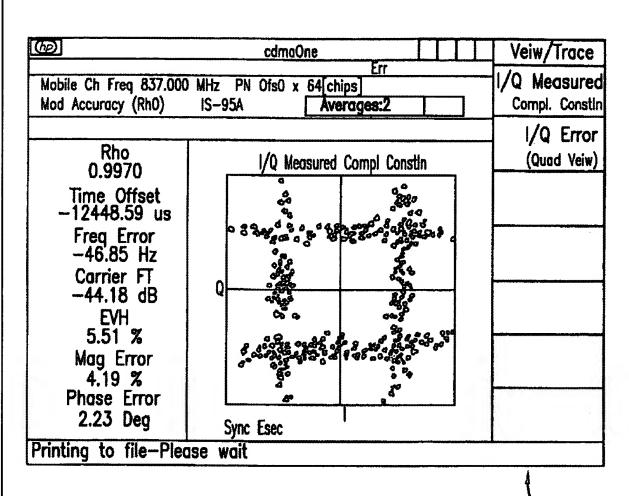
(P)	cdmo0ne	Radio
Mobile Ch Freq 837.000 Mod Accuracy (Rho)	MHz PN Ofs0 x 64[chips] IS-95A Averages:10	Band IS-95A
Rho 0.9969	I/Q Measured Compl Vector	Device Base <u>Mobile</u>
Time Offset -12450.64 us Freq Error -46.82 Hz Carrier FT -44.58 dB EVH 5.54 % Mag Error 4.21 % Phase Error		
2.24 Deg Printing to file—Plea	Sync Esec use wait	

MOBILE STATION CONSTELLATION FOR ACCESS CHANNEL TEST FIG. 44

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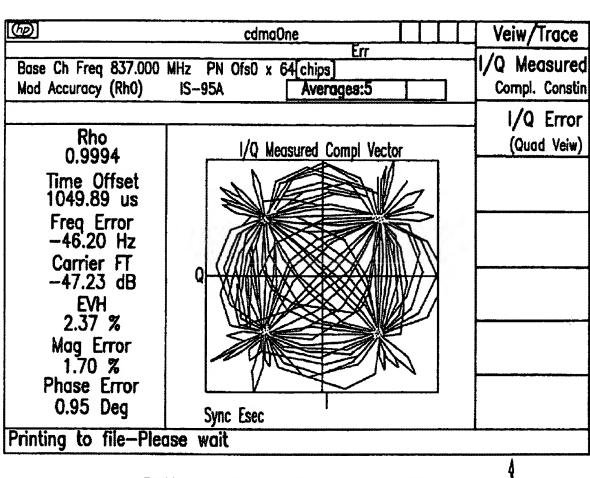
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MOBILE STATION SAMPLED CONSTELLATION FIG.45

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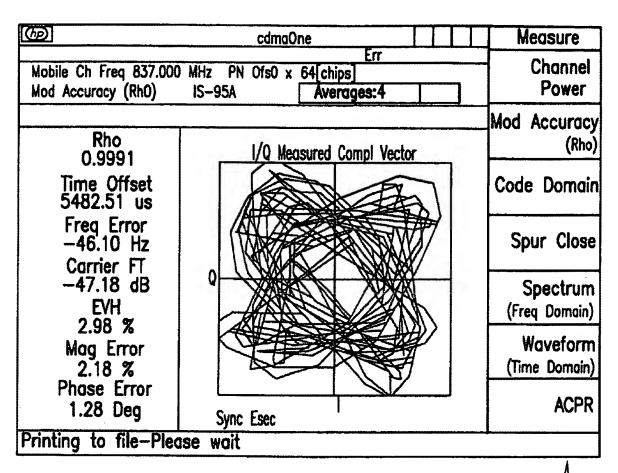
BASE STATION CONSTELLATION USING ONLY H/P TEST EQUIPMENT

FIG.46

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MOBILE CONSTELLATION USING ONLY H/P TEST EQUIPMENT

FIG.47

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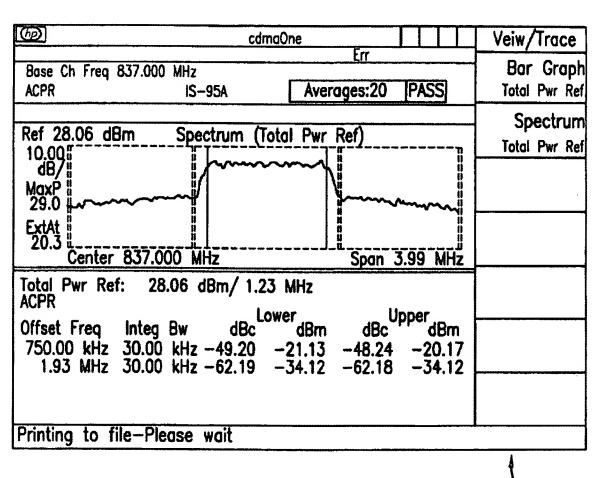


FIG.48

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cdmoOne cdmoOne	Measure
Bose Ch Freq 837.000 MHz ACPR IS-95A Averages:12 PASS	Channel Power
Ref 28.08 dBm Bar Graph (Total Pwr Ref)	Mod Accuracy (Rho)
dB/ MaxP 29.0	Code Domain
ExtAt             Center 837.000 MHz	Spur Close
Total Pwr Ref: 28.08 dBm/ 1.23 MHz ACPR  Official Free Lates Pure dD Lower D Upper D	Spectrum (Freq Domain)
ACPR Offset Freq Integ Bw dBc dBm dBc dBm 750.00 kHz 30.00 kHz -49.23 -21.15 -48.20 -20.12 1.93 MHz 30.00 kHz -62.15 -34.07 -62.14 -34.06	Waveform (Time Domain)
	ACPR
Printing to file-Please wait	

BASE STATION SPECTRAL RESPONSE WITH MASK

FIG.49

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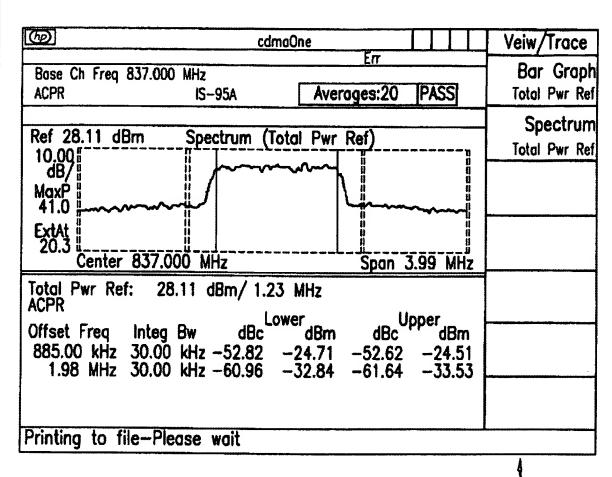
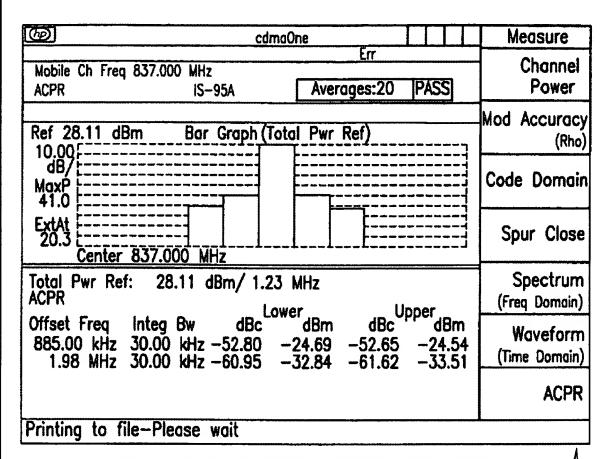


FIG.50

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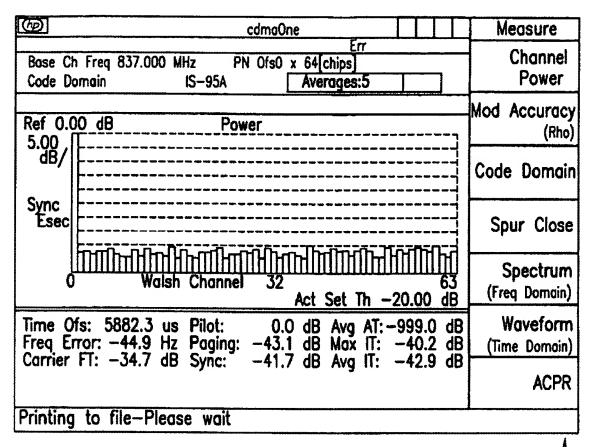


MOBILE STATION SPECTRAL RESPONSE WITH MASK

FIG.51

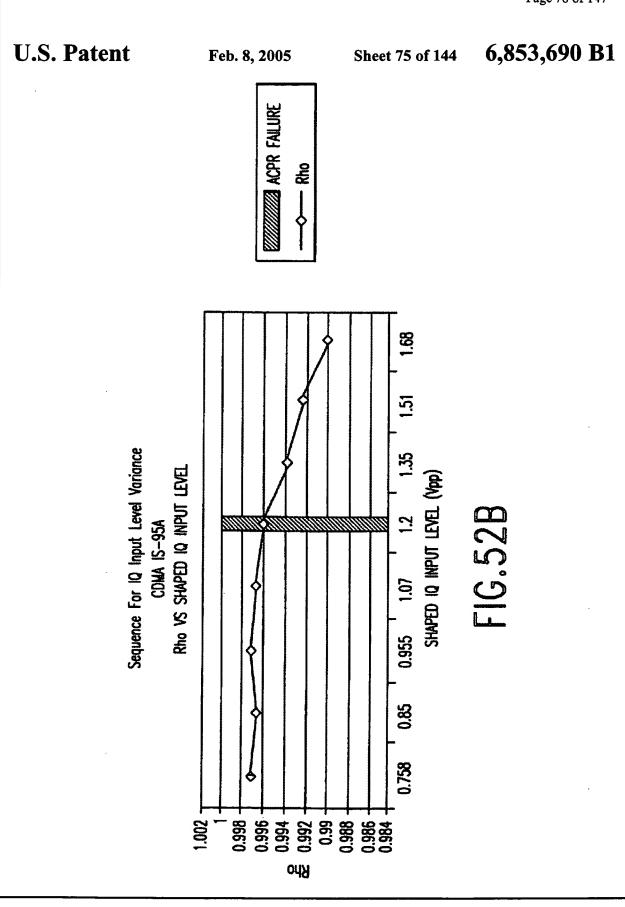
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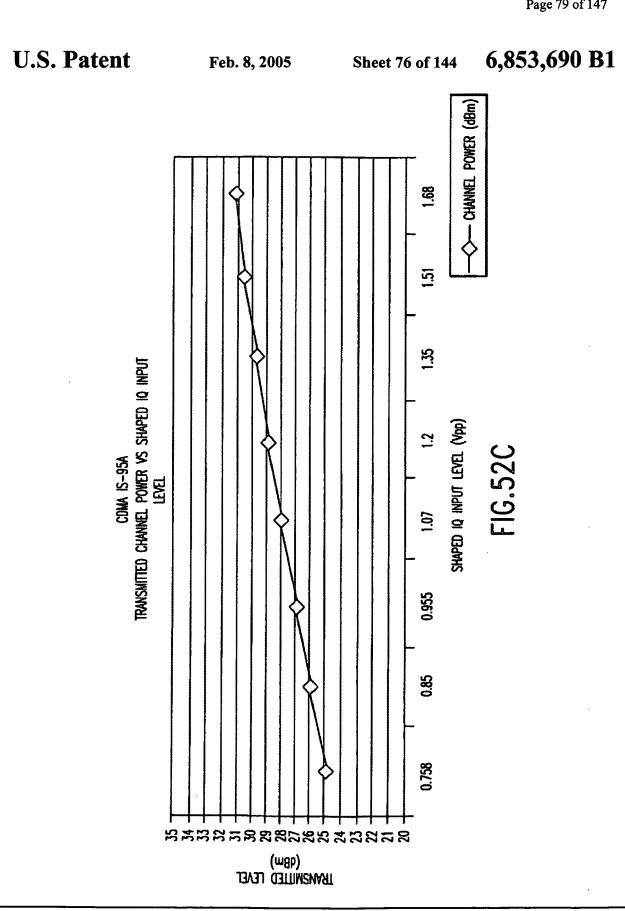
6,853,690 B1



CDMA CROSSTALK

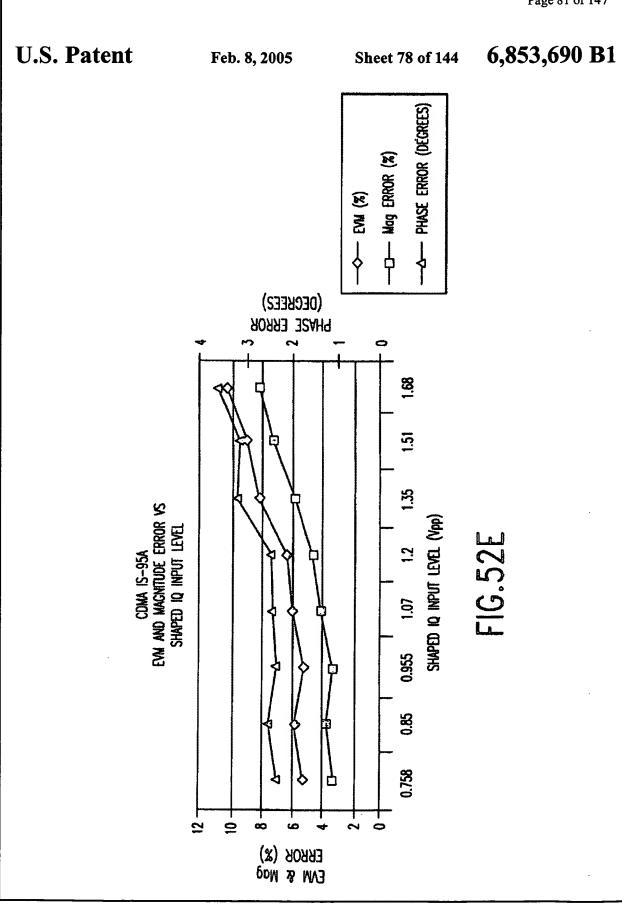
FIG.52A





Page 80 of 147 U.S. Patent 6,853,690 B1 Feb. 8, 2005 **Sheet 77 of 144** 1.98 WHz ACPR Specification Level 750 KHz ACPR Specification Level —×— 1.98 MHz offset freq. (U) 1.98 MHz offset freq. (L) 750 KHz offset freq. (U) 750 KHz offset freq. (L) CDMA IS-95A ACPR VS SHAPED 10 INPUT LEVEL 955 1.07 1.2 1. Shaped iq input level (Vpp) 0.955 0.85 0.758 -45 क्र क्ष -35 \$

**ACPR (48)** 



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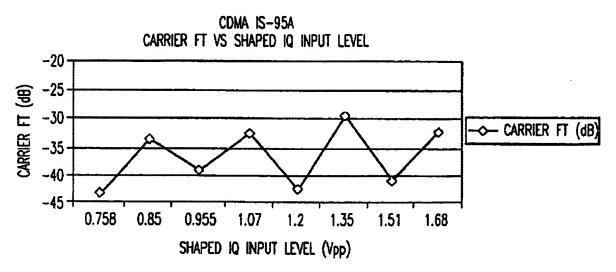
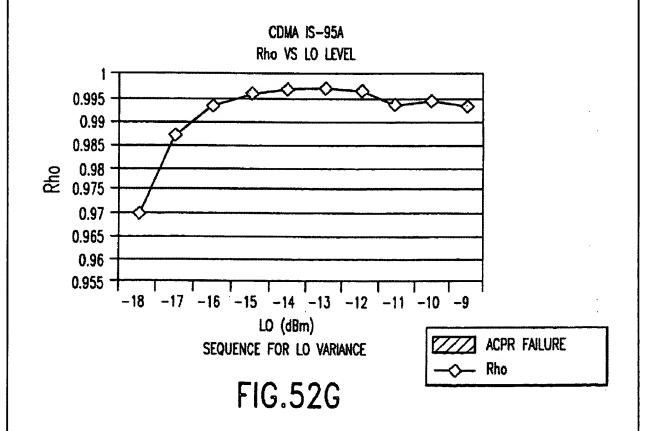
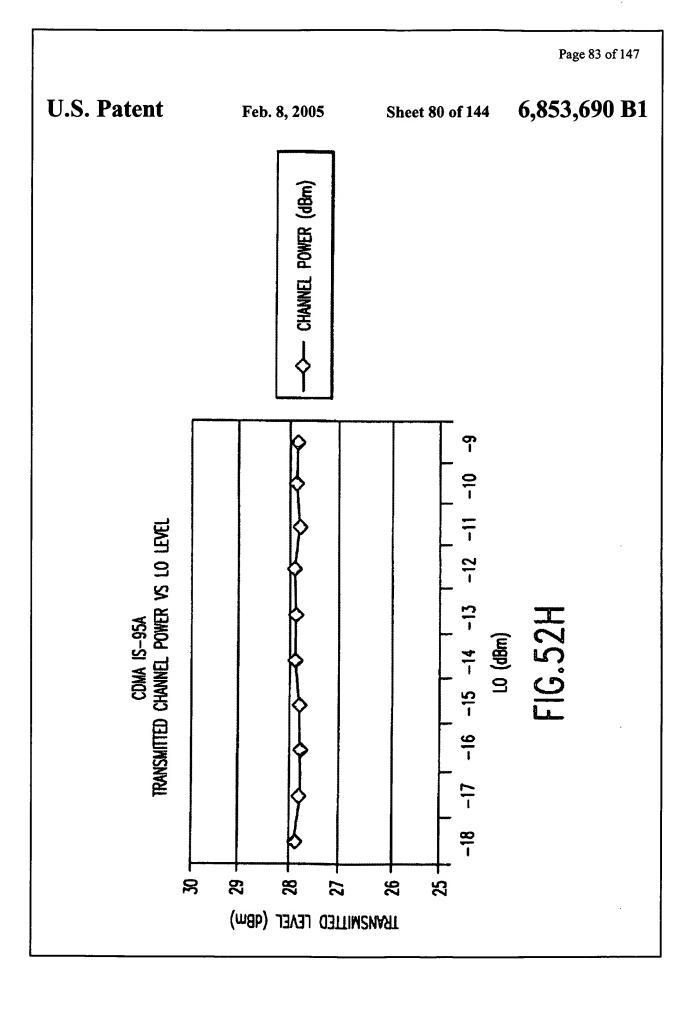
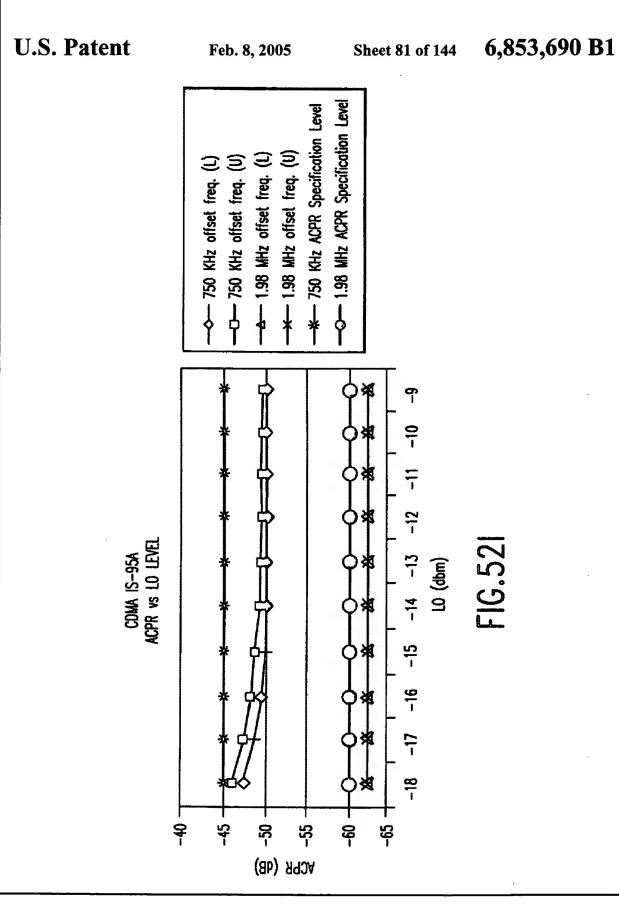


FIG.52F



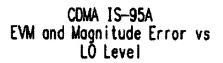




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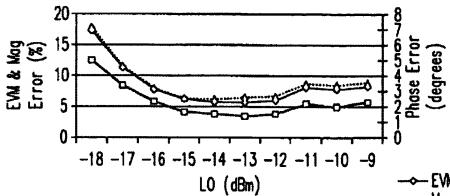
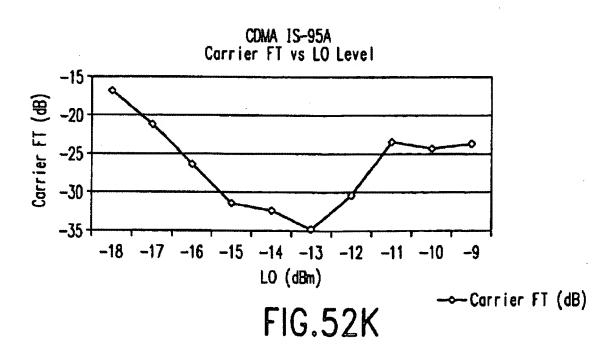


FIG.52J

→ EVM (%) → Mag Error (%) → Phase Error (degrees)



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CDMA 1S-95A Carrier FT vs Shaped IQ Input Level

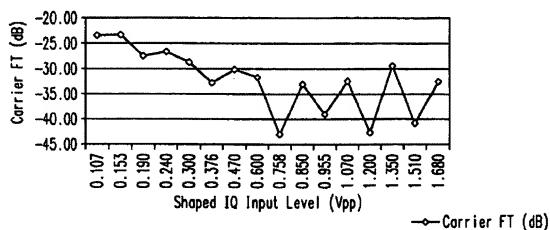


FIG.52L

CDMA IS-95A ACPR vs Shaped IQ Input Level

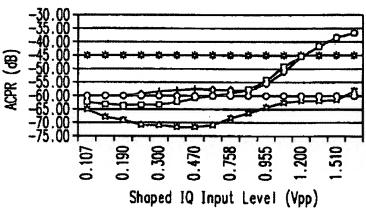


FIG.52M

--- 750 KHz offset freq. (L) --- 750 KHz offset freq. (U)

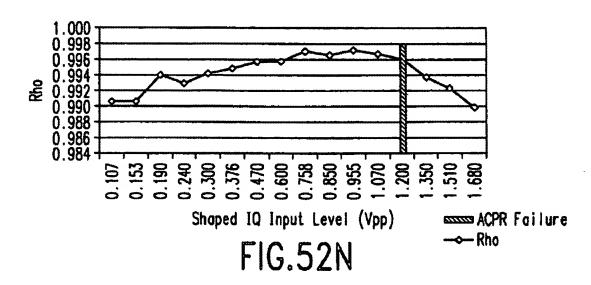
--- 1.98 MHz offset freq. (L) --- 1.98 MHz offset freq. (U)

--- 1.98 MHz ACPR Specification Level

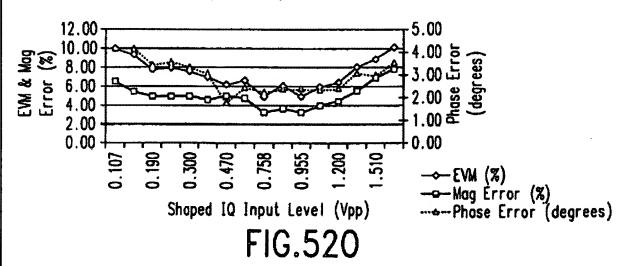
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CDMA IS-95A Rho vs Shoped IQ Input Level



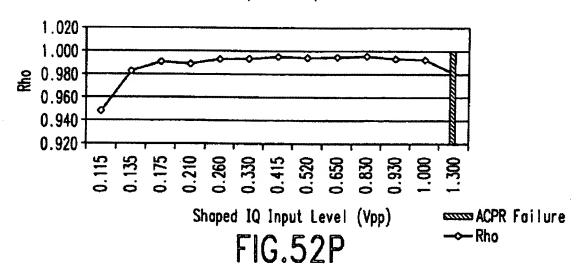
CDMA IS-95A EVM, Magnitude Error and Phase Error vs Shaped IQ Input Level



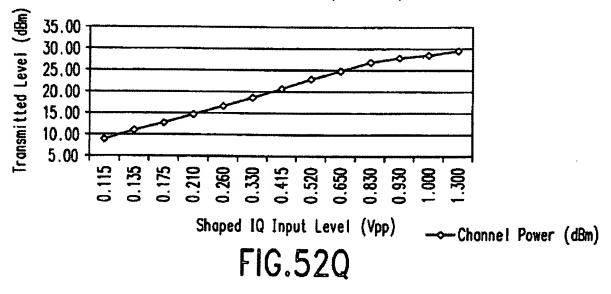
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Sequence For IQ Input Level Variance CDMA IS-95A Mobile Transmitter@+3.3V Rho vs Shaped IQ Input Level



CDMA IS-95A Mobile Transmitter@+3.3V Transmitted Channel Power vs Shaped IQ Input Level

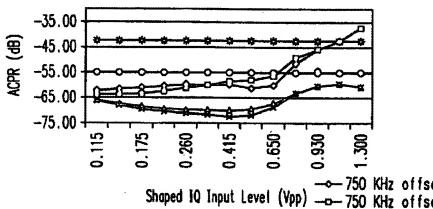


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CDMA IS-95A Mobile Transmitter@+3.3V ACPR vs Shaped IQ Input Level



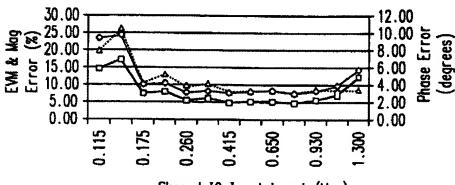
→ 750 KHz offset freq. Shaped 10 Input Level (Vpp) --- 750 KHz offset freq.

FIG.52R

--- 1.98 MHz offset freq. --- 1.98 MHz offset freq.

-0-1.98 MHz ACPR Specification Level

CDMA IS-95A Mobile Transmitter@+3.3V EVM, Magnitude Error and Phase Error vs Shaped IQ Input Level



Shoped IQ Input Level (Vpp)

← EVM (%)

-- Mag Error (%)

-----Phase Error (degrees)

FIG.52S

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CDMA IS-95A Mobile Transmitter@+3.3V Carrier FT vs Shaped IQ Input Level

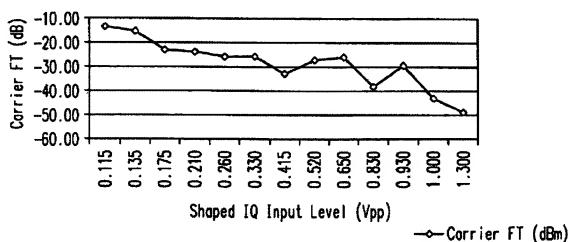


FIG.52T

Sequence For LO Variance CDMA IS-95A Mobile Transmitter@+3.3V Rho vs LO Level

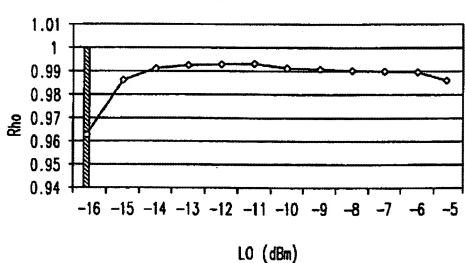


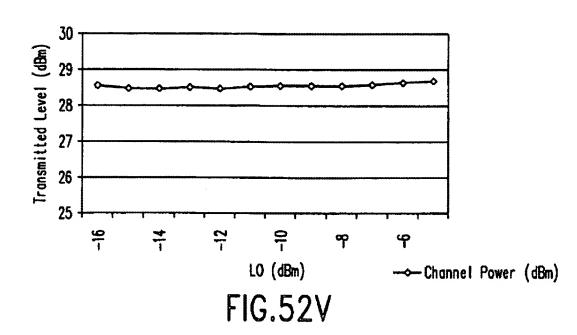
FIG.52U

ACPR Feilure 

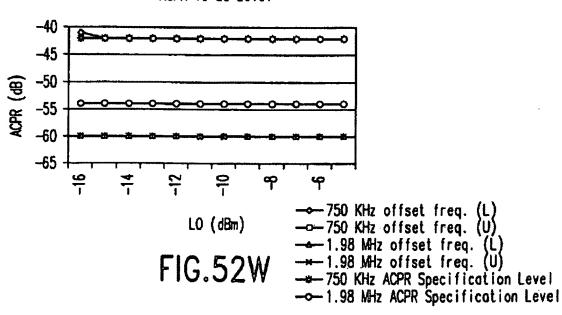
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CDMA IS-95A Mobile Transmitter@+3.3V Transmitted Channel Power vs LO Level



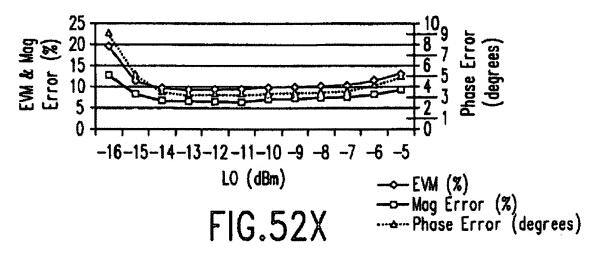
CDMA IS-95A Mobile Transmitter@+3.3V ACPR vs LO Level



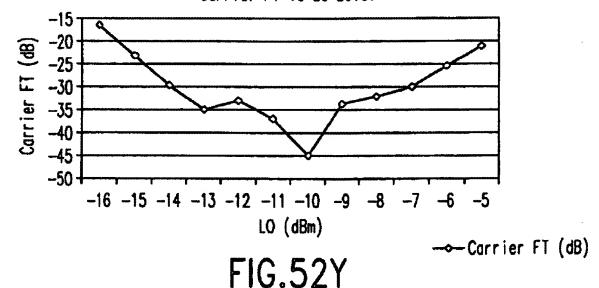
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CDMA IS-95A Mobile Transmitter@+3.3V EVM and Magnitude Error vs LO Level



CDMA IS-95A Mobile Transmitter@+3.3V Carrier FT vs LO Level



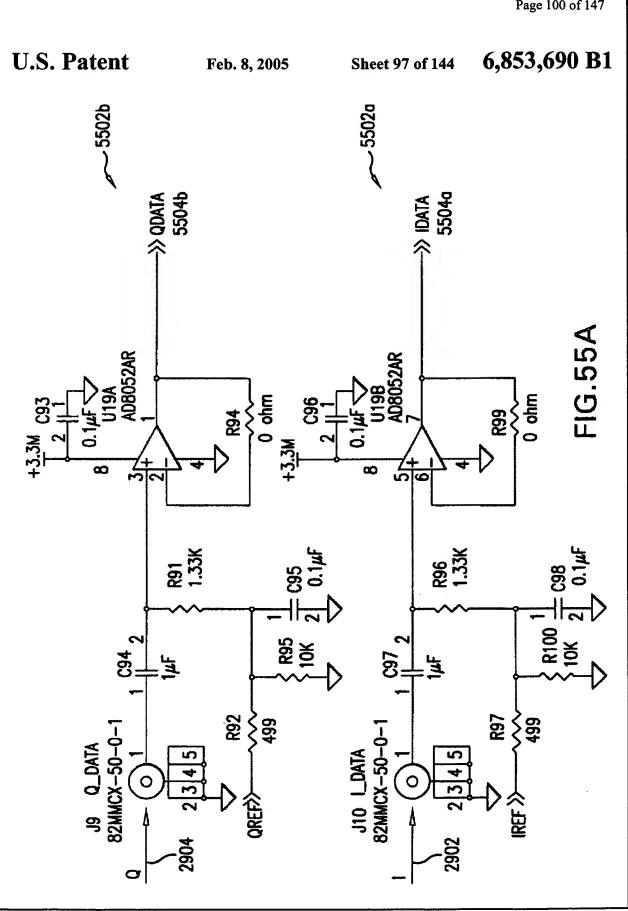
Feb. 8, 2005

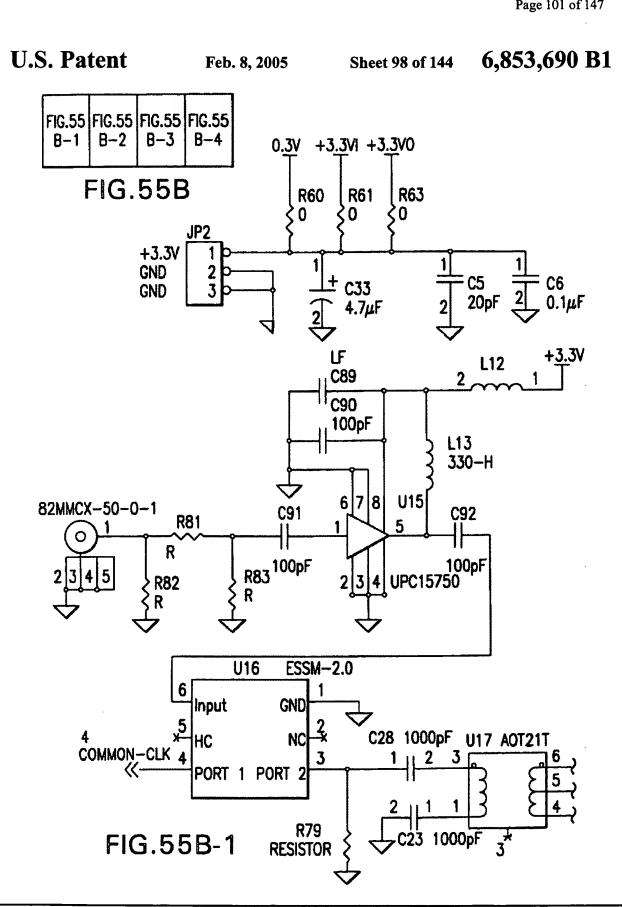
Sheet 90 of 144

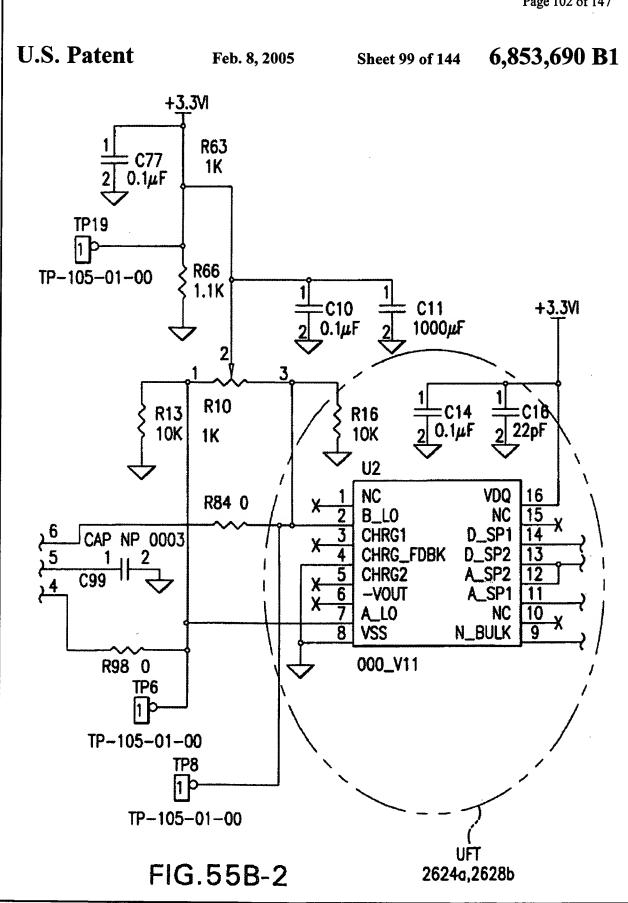
6,853,690 B1

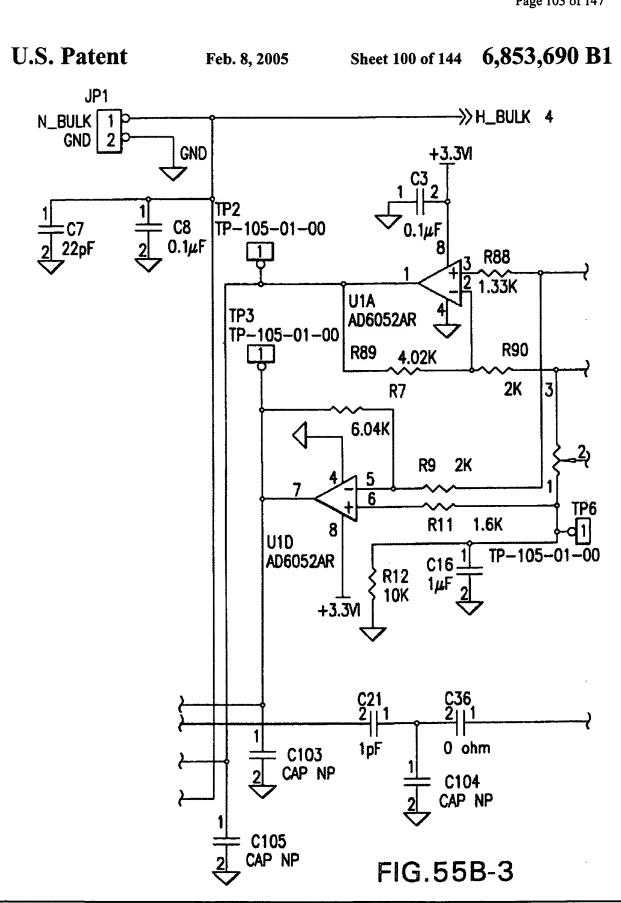
DESCRIPTION		VOLTAGE	TOTAL CURRENT	POWER
CORES		3.3	4mA	13.2mW
BASEBAND INTERFACE CIRCUITS WITH/BW LIMIT	KCUITS	3.3	6mA	21.8mW
CLOCK CIRCUIT		3.3	SmA	20.0mW
			SUB TOTAL	54.0mW

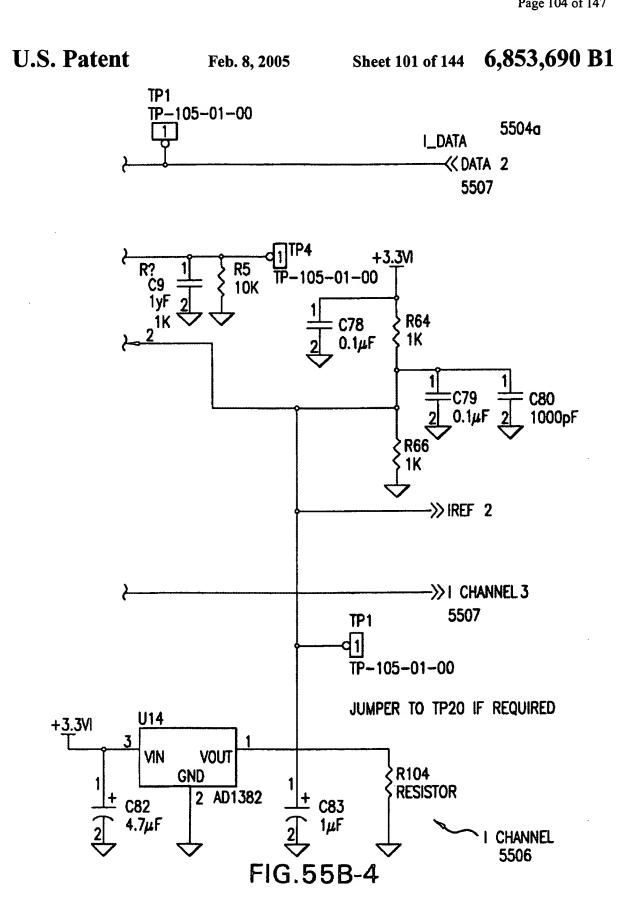
FIG.52Z

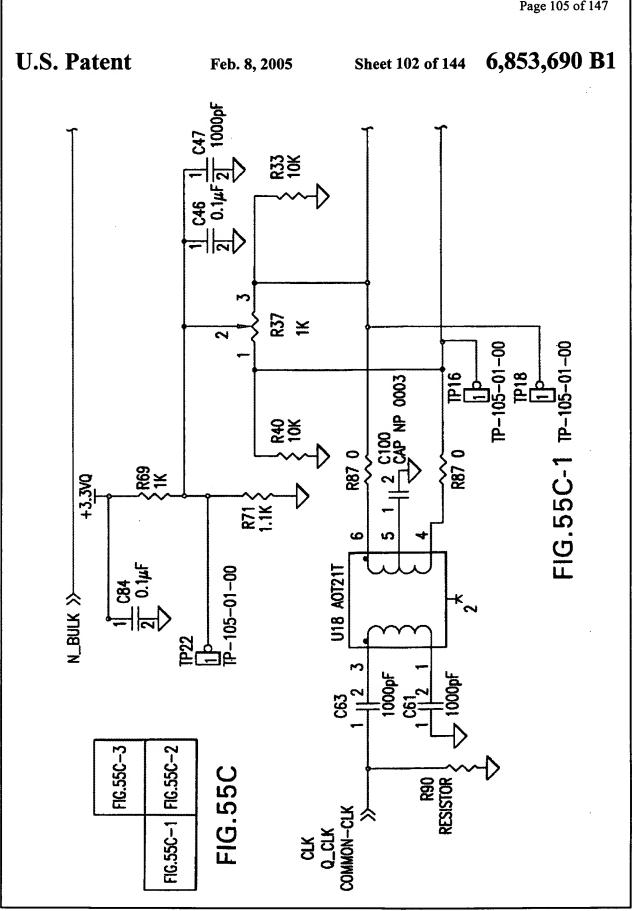


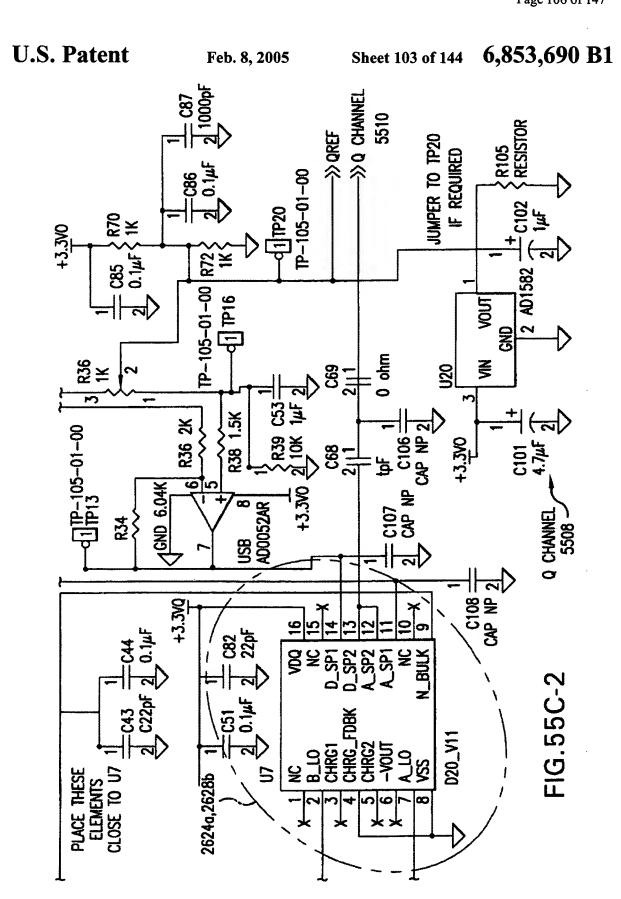


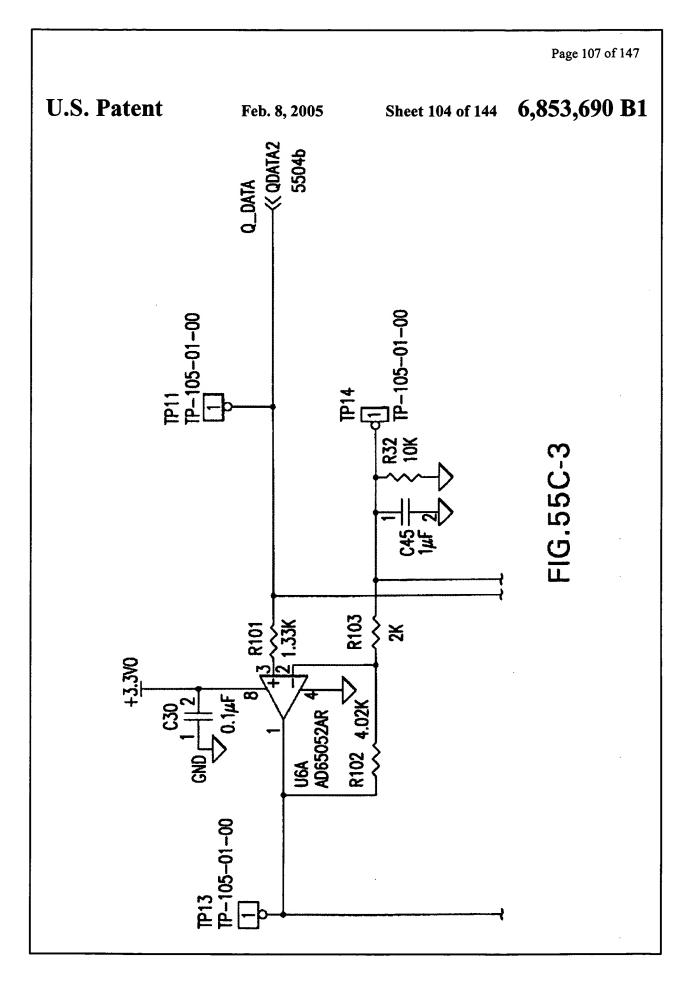


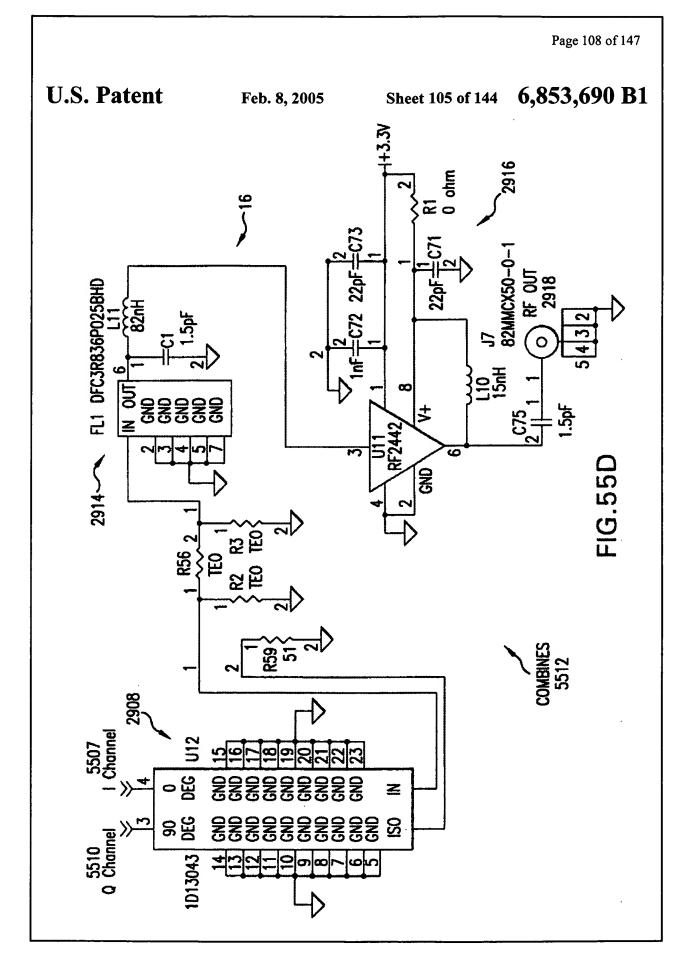


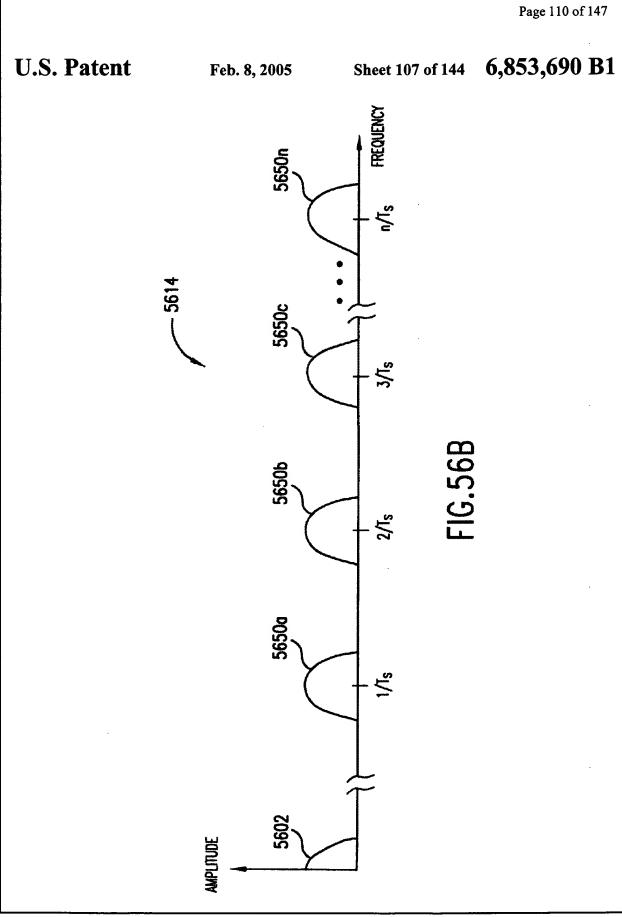


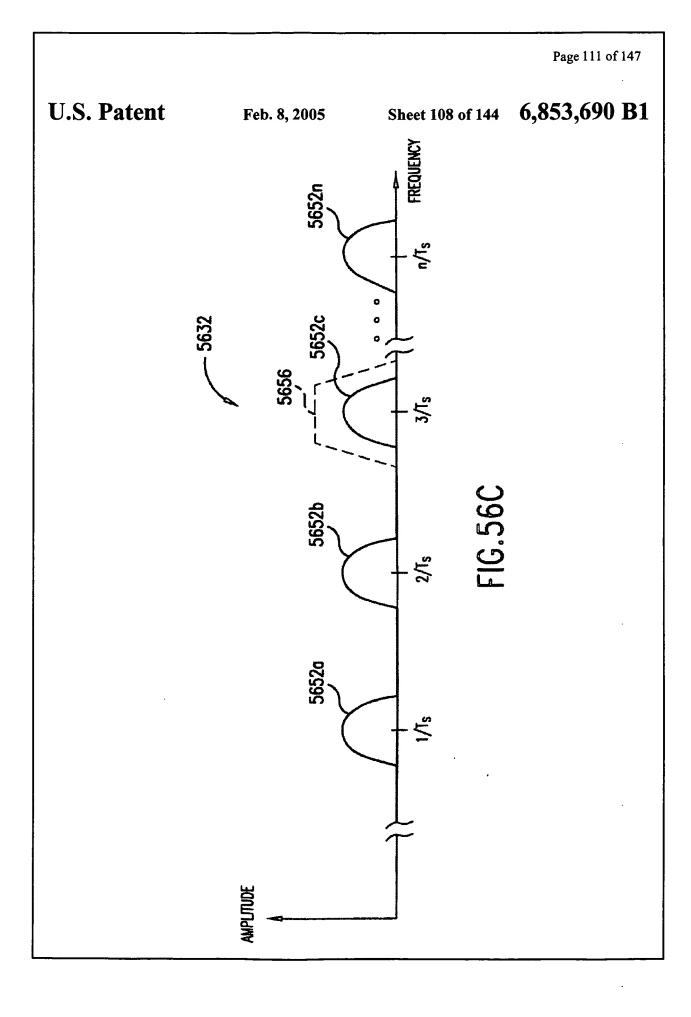


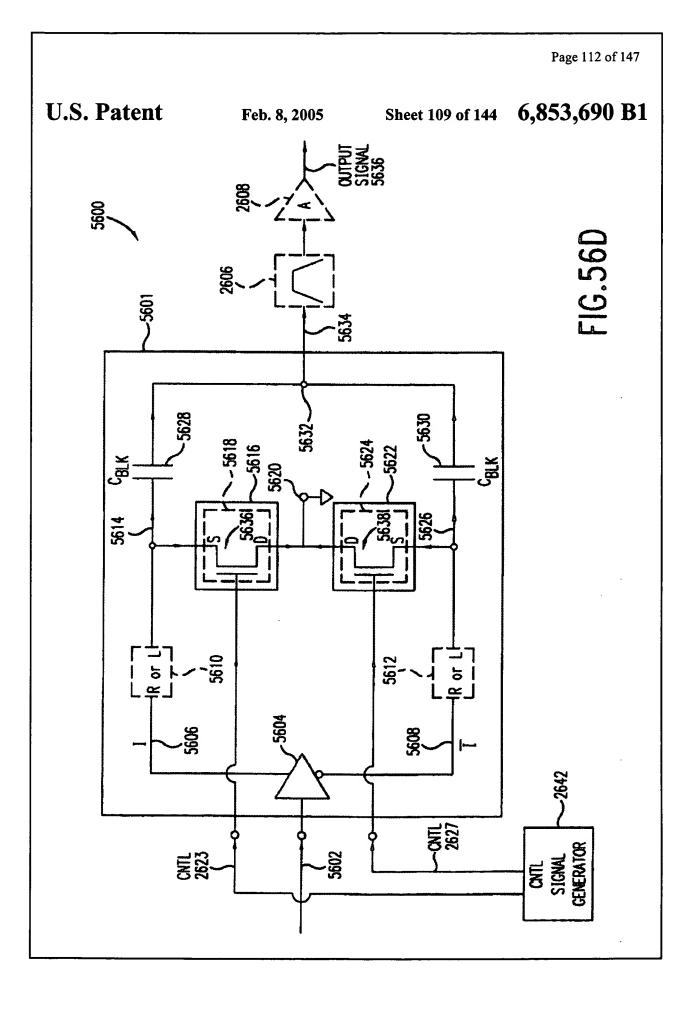


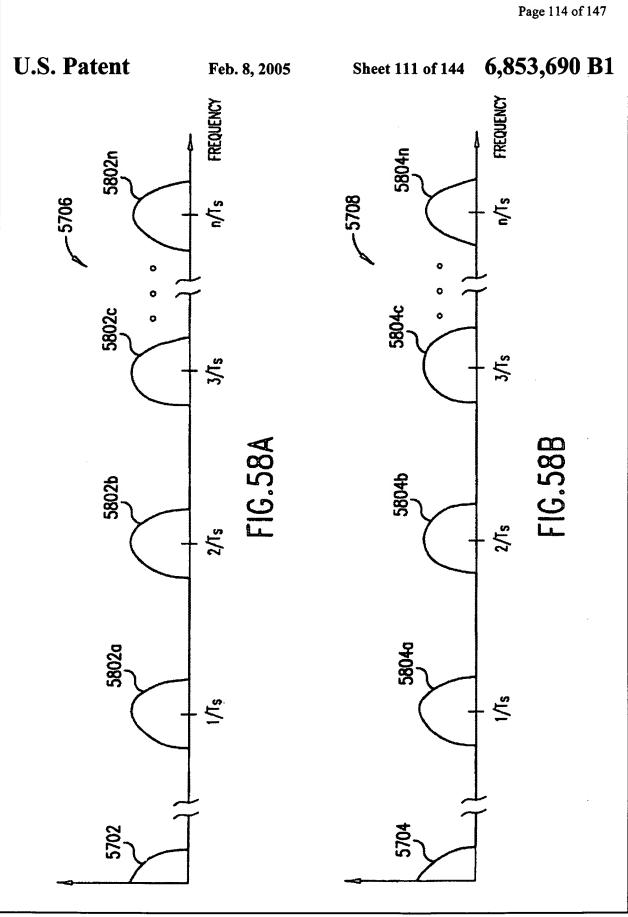


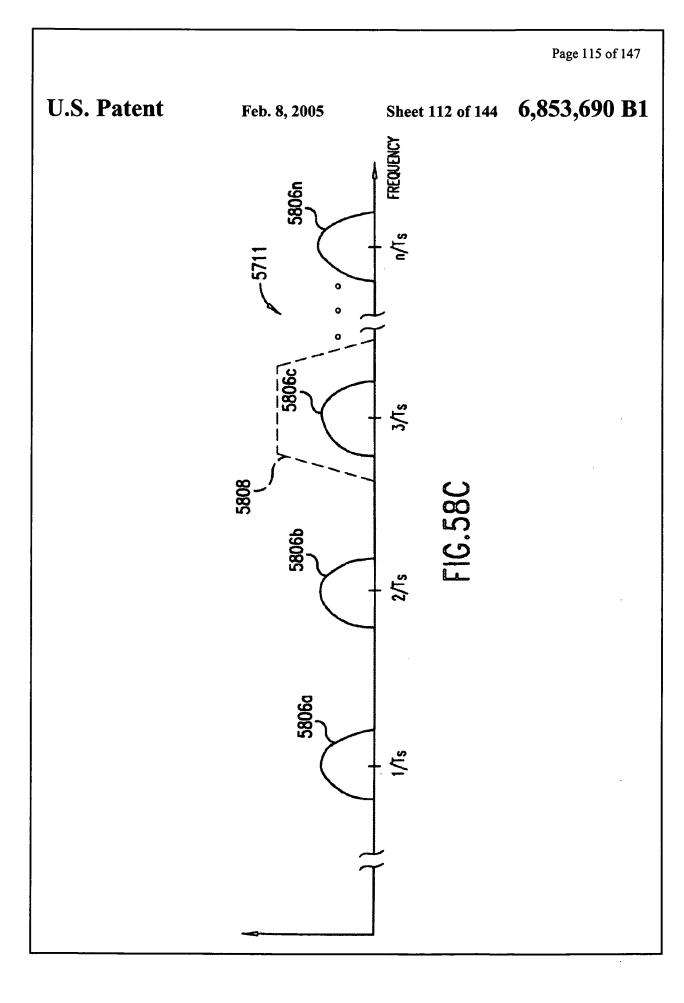


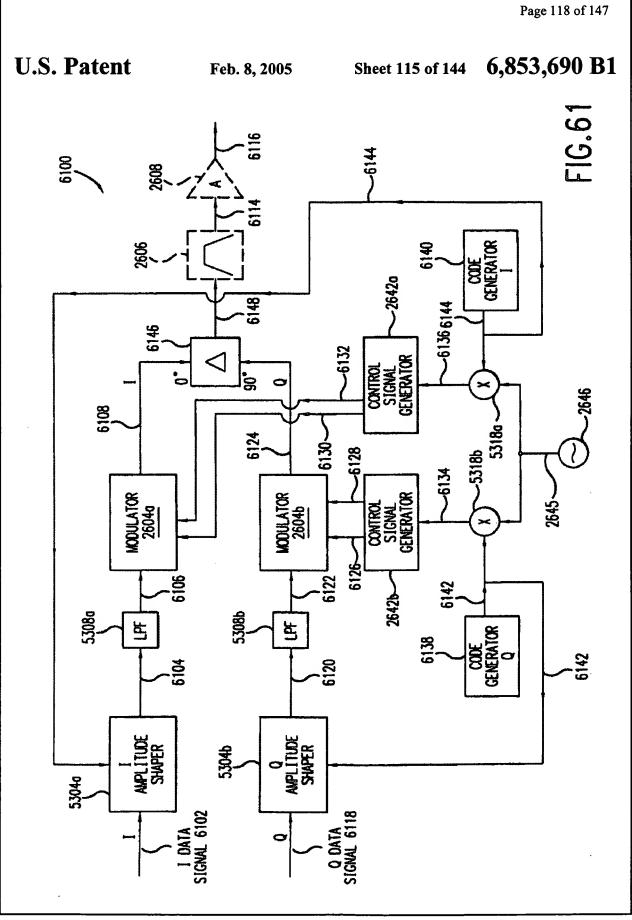


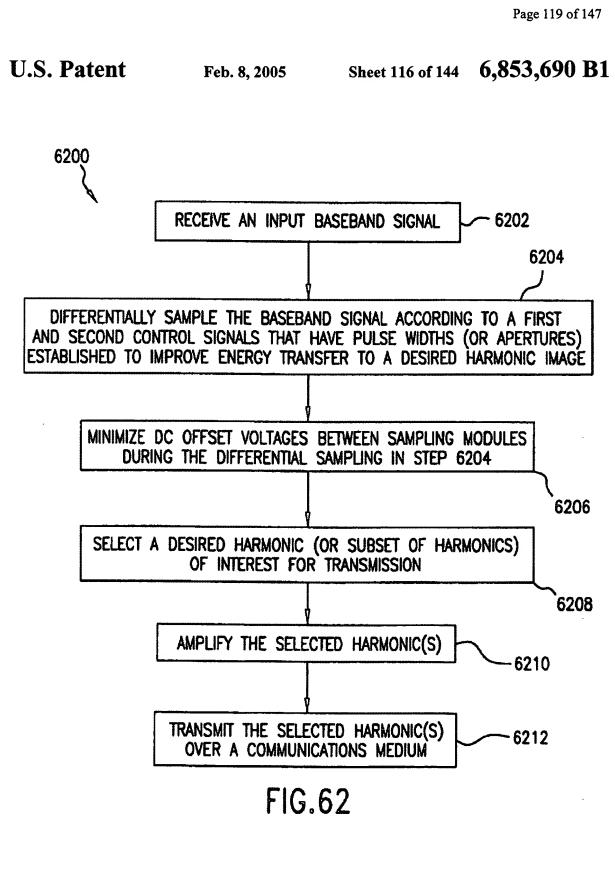


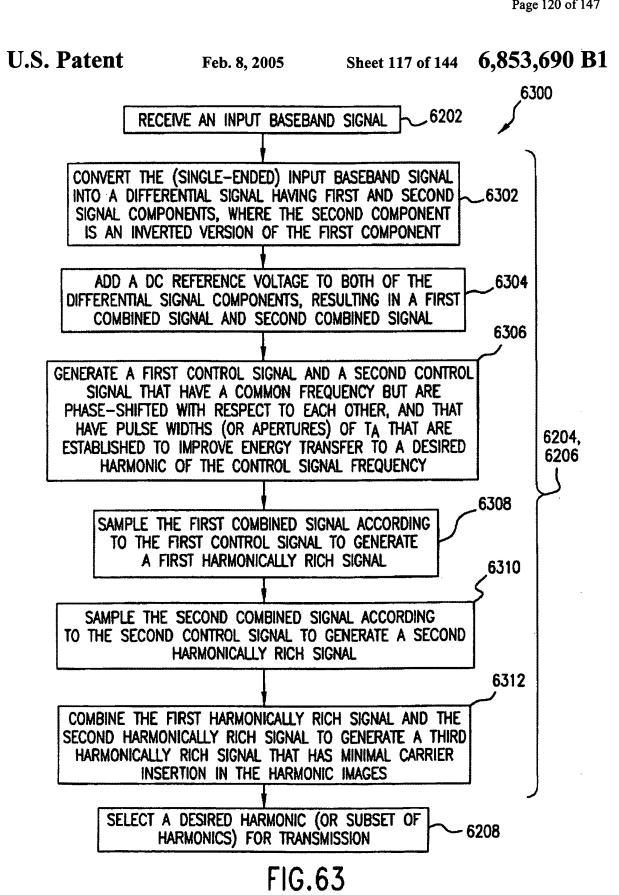












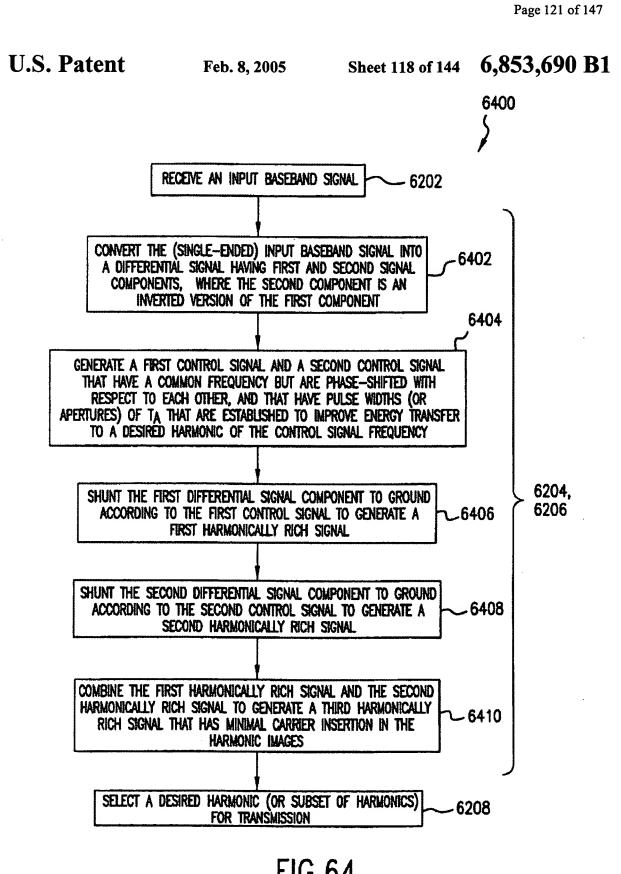
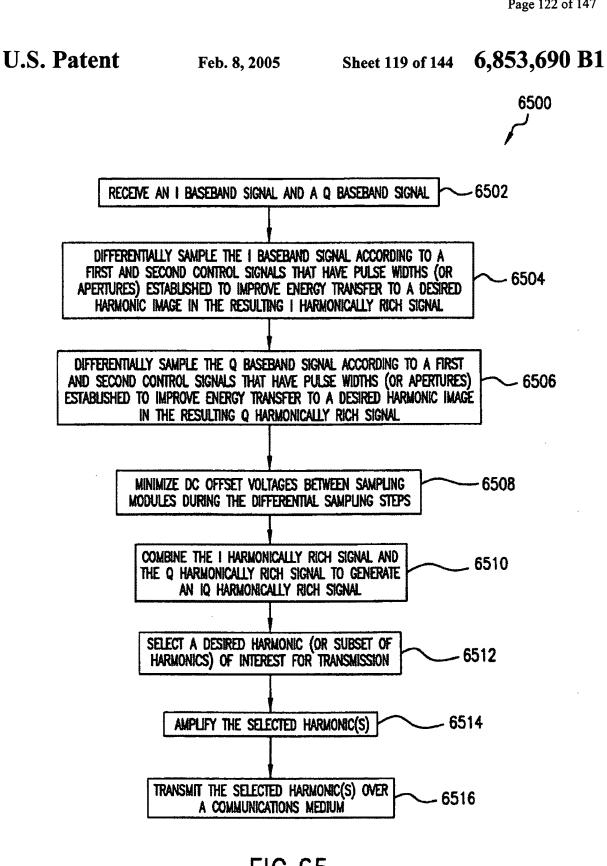
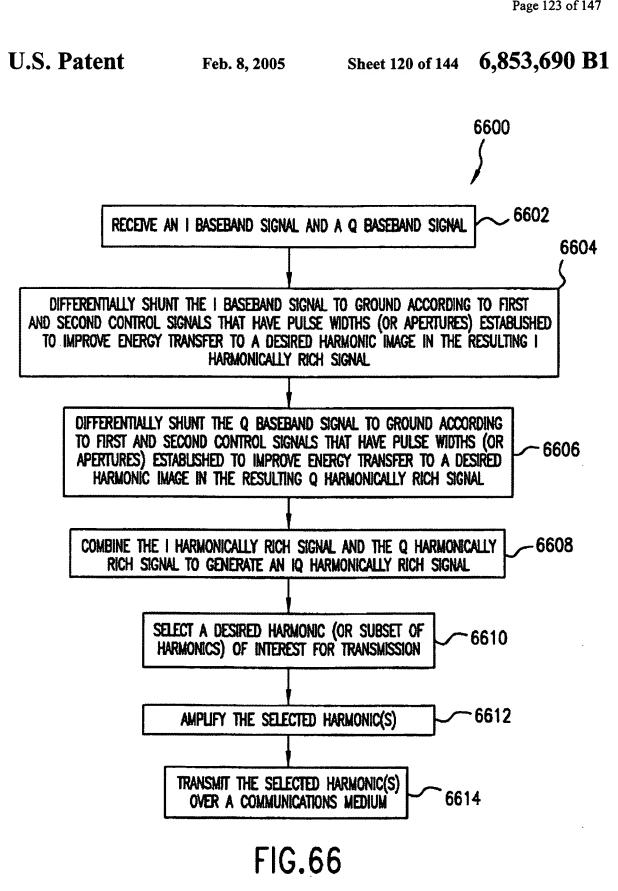
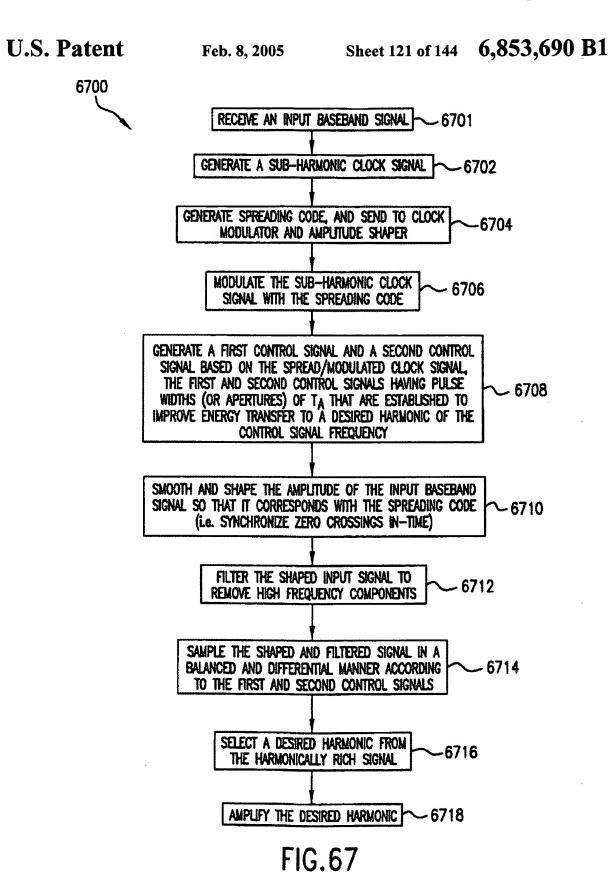


FIG.64



**FIG.65** 





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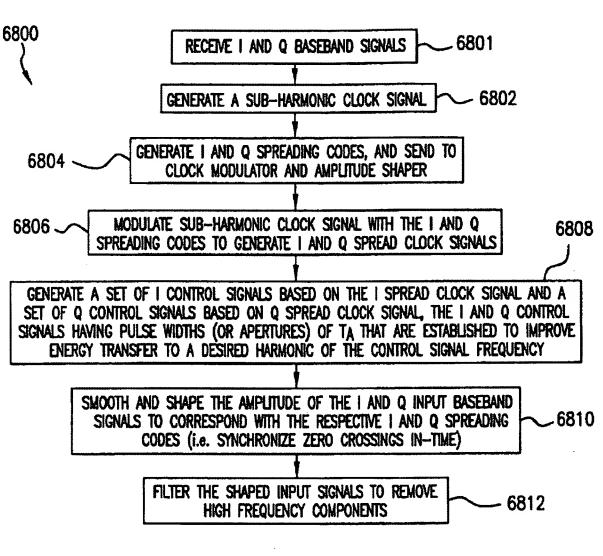
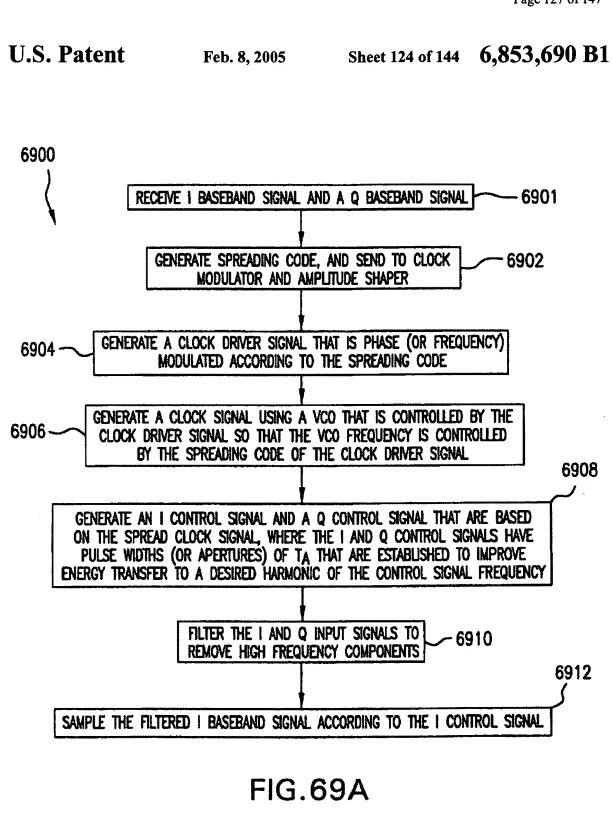


FIG.68A

Page 126 of 147 U.S. Patent Feb. 8, 2005 Sheet 123 of 144 6,853,690 B1 6800 (CONTINUED) SAMPLE THE SHAPED/FILTERED I BASEBAND SIGNAL IN 6814 A BALANCED AND DIFFERENTIAL MANNER ACCORDING TO THE FIRST AND SECOND I CONTROL SIGNALS SAMPLE THE SHAPED/FILTERED Q BASEBAND SIGNAL IN A BALANCED 6816 AND DIFFERENTIAL MANNER ACCORDING TO THE FIRST AND SECOND Q CONTROL SIGNALS COMBINE THE I AND Q HARMONICALLY RICH SIGNALS 6818 SELECT A DESIRED HARMONIC FROM 6820 THE HARMONICALLY RICH SIGNAL 6822 AMPLIFY THE DESIRED HARMONIC

FIG.68B



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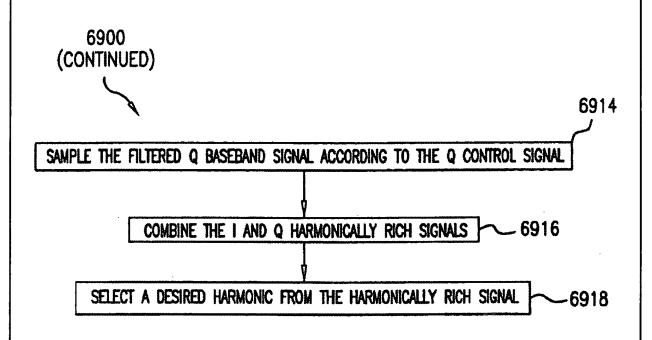


FIG.69B

FIG.70A1

CONTINUE FIG.70A2

**FOCA** 

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LOCAL OSCILLATOR SIGNAL 7015

HALF FREQUENCY LO SIGNAL 7017

PHASE SHIFTED LO SIGNAL 7019

HALF FREQUENCY PHASE SHIFTED LO SIGNAL 7021

I CONTROL SIGNAL 7090

INVERTED I CONTROL SIGNAL 7092

Q CONTROL SIGNAL 7094

INVERTED Q CONTROL SIGNAL 7096

COMBINED CONTROL SIGNAL 7045

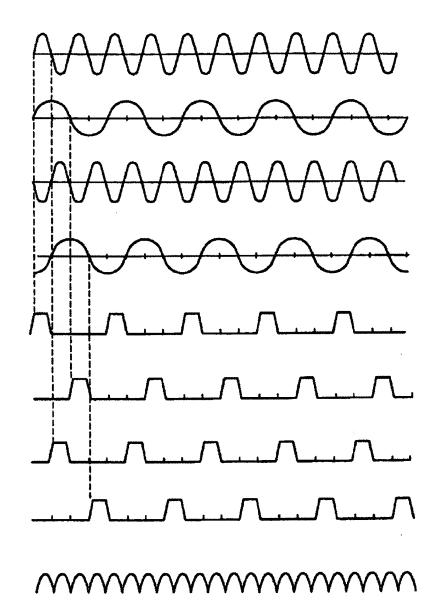
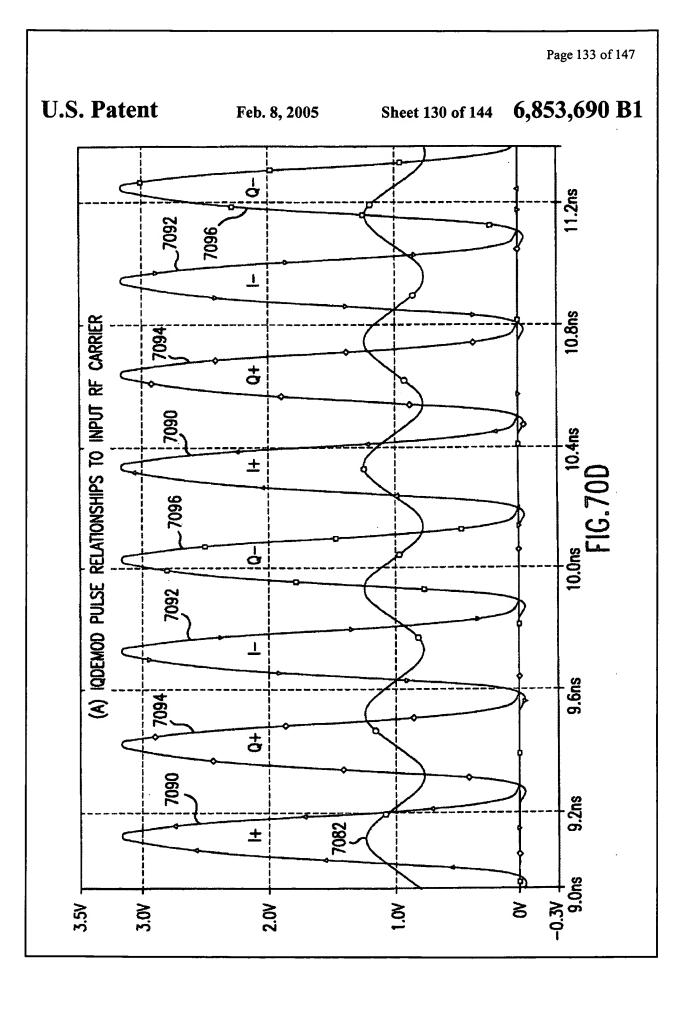
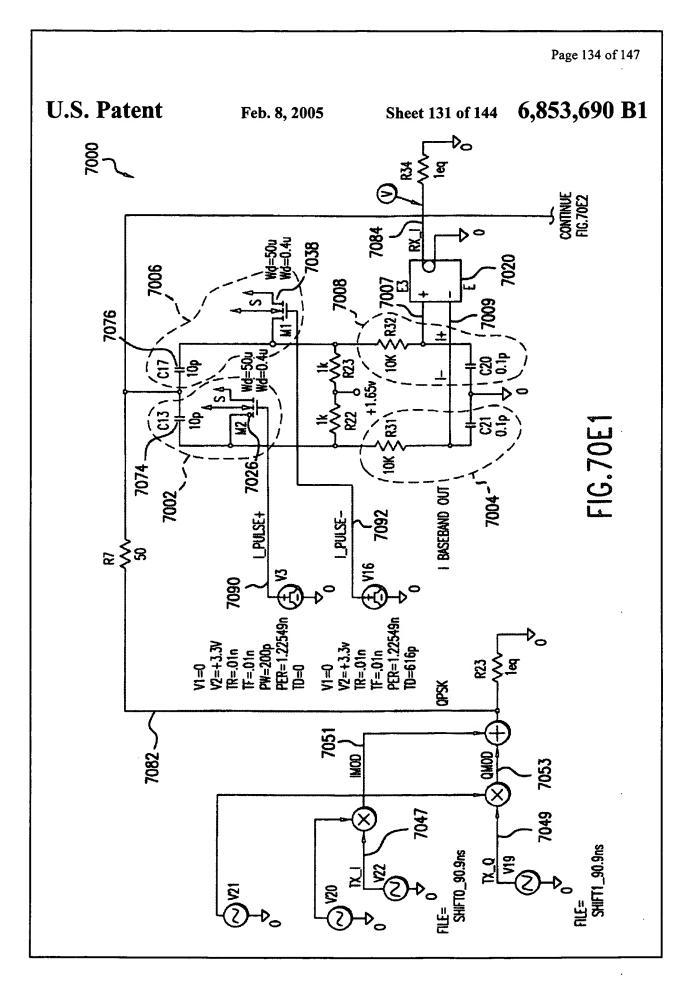
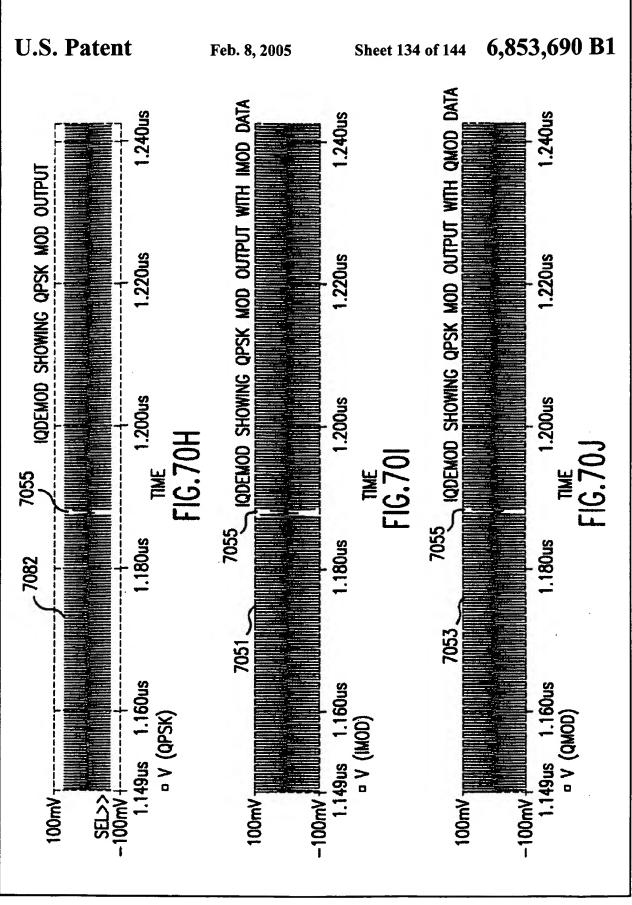


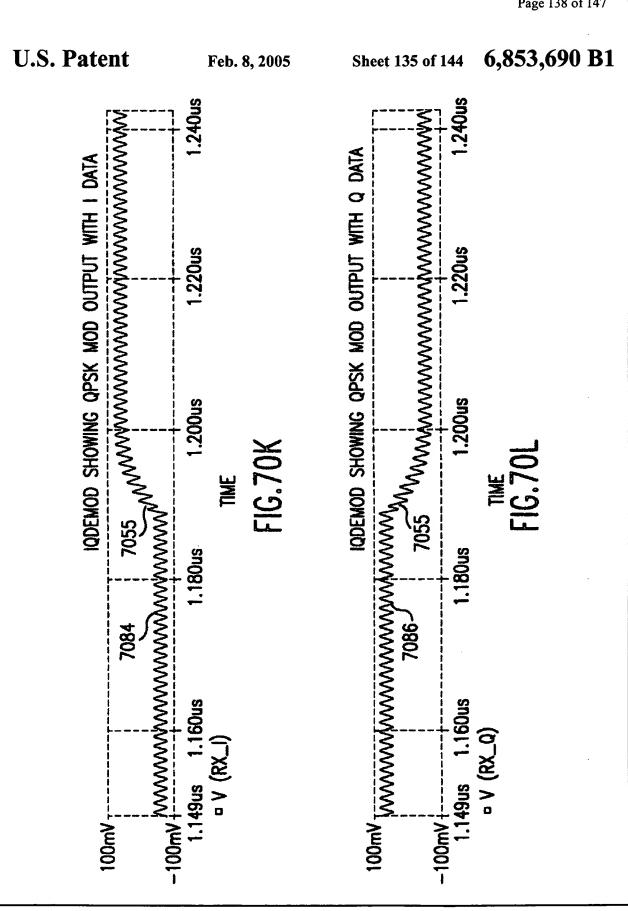
FIG.70C

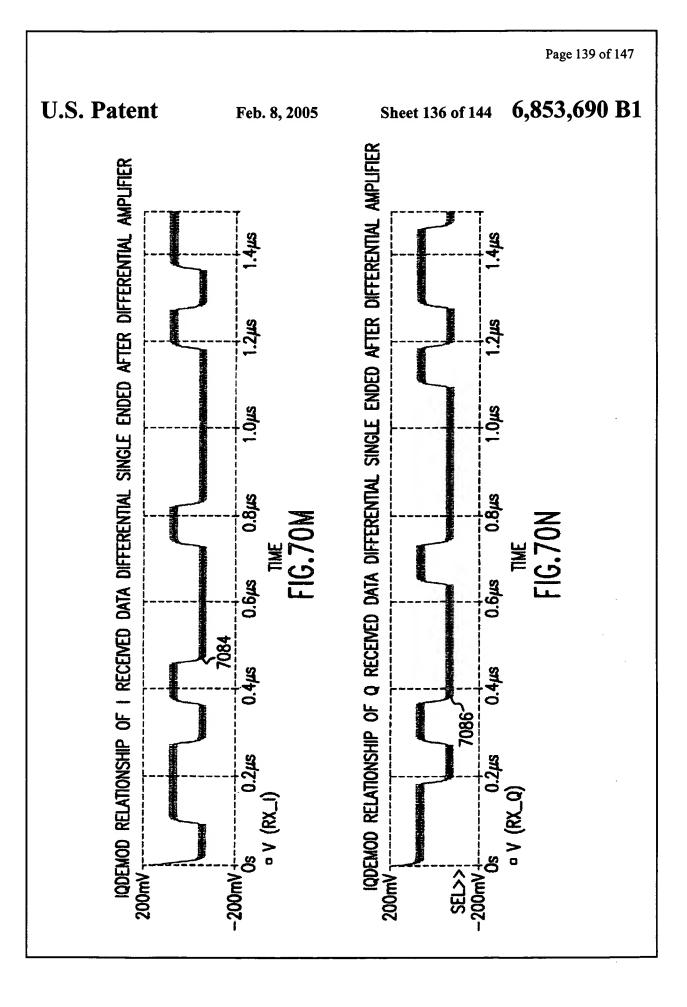


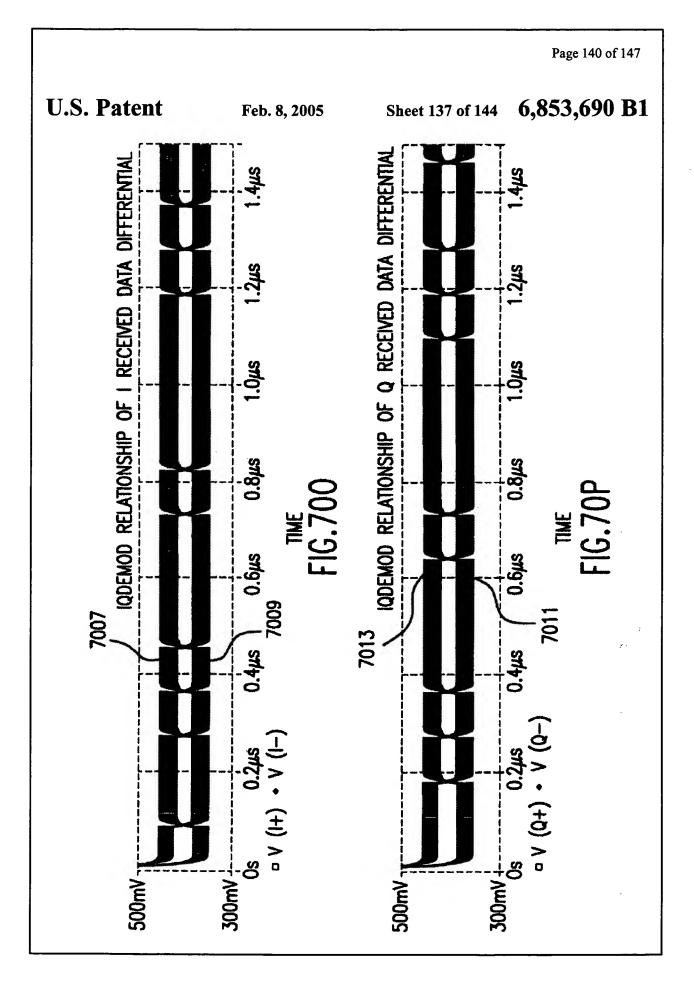


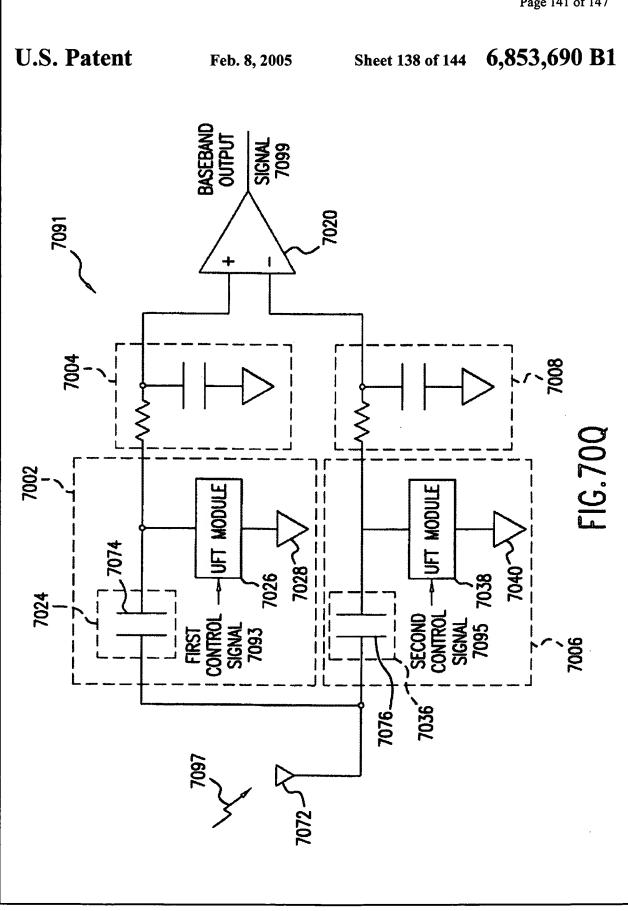
Page 135 of 147 U.S. Patent 6,853,690 B1 Sheet 132 of 144 Feb. 8, 2005 7011 7080 7078 Q BASEBAND OUT

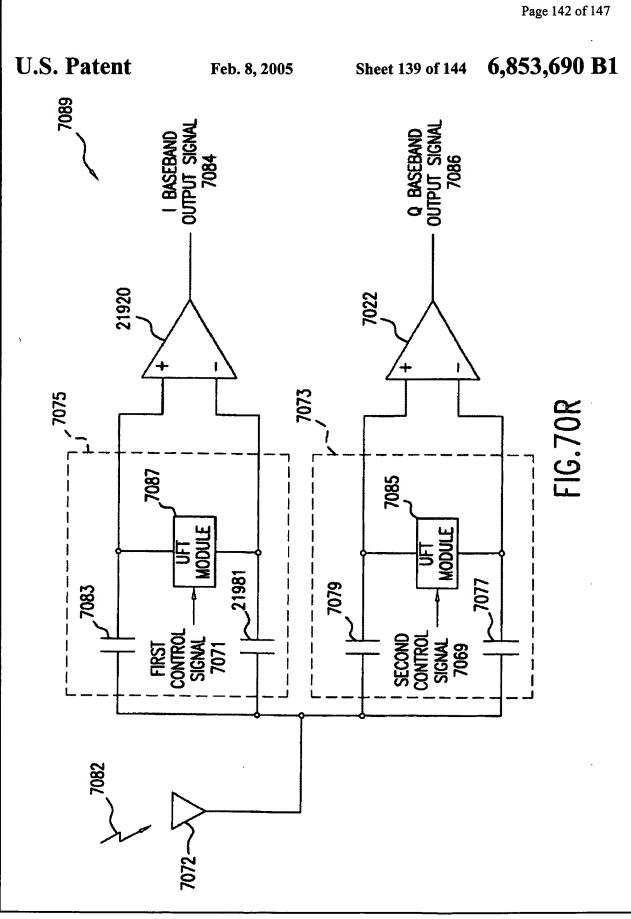


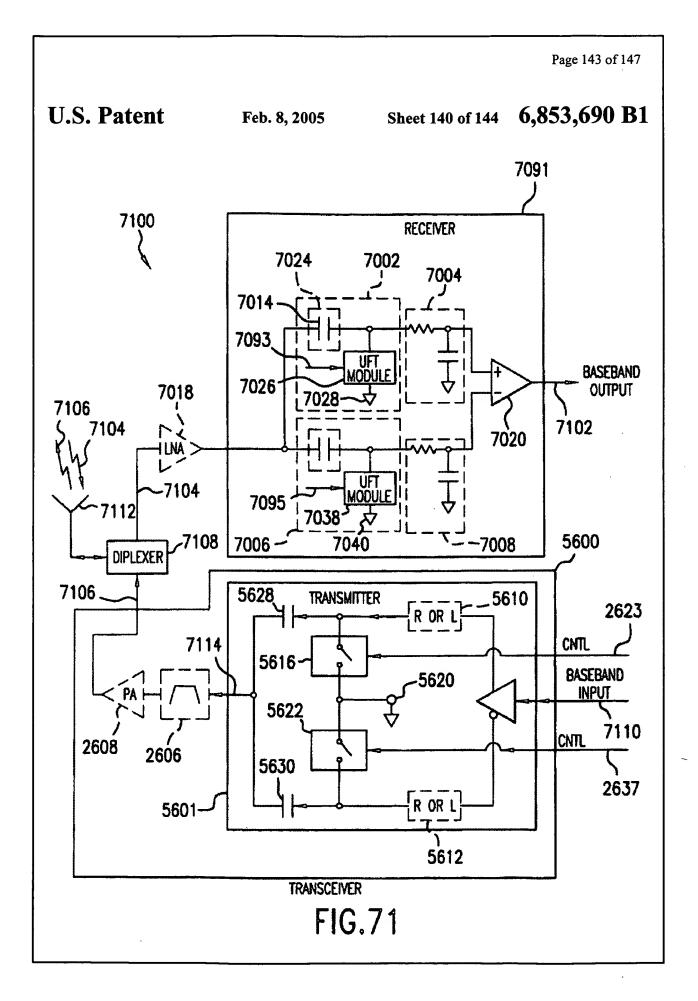


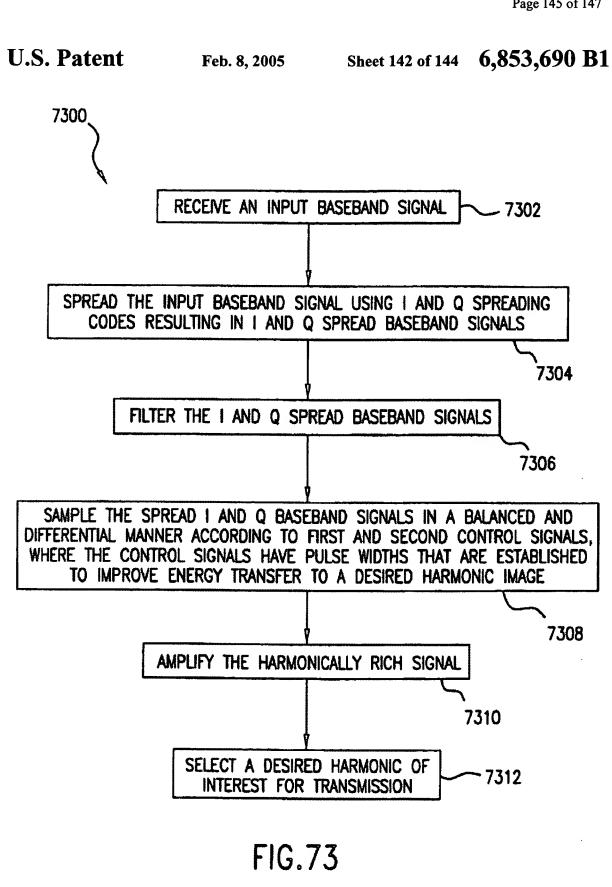


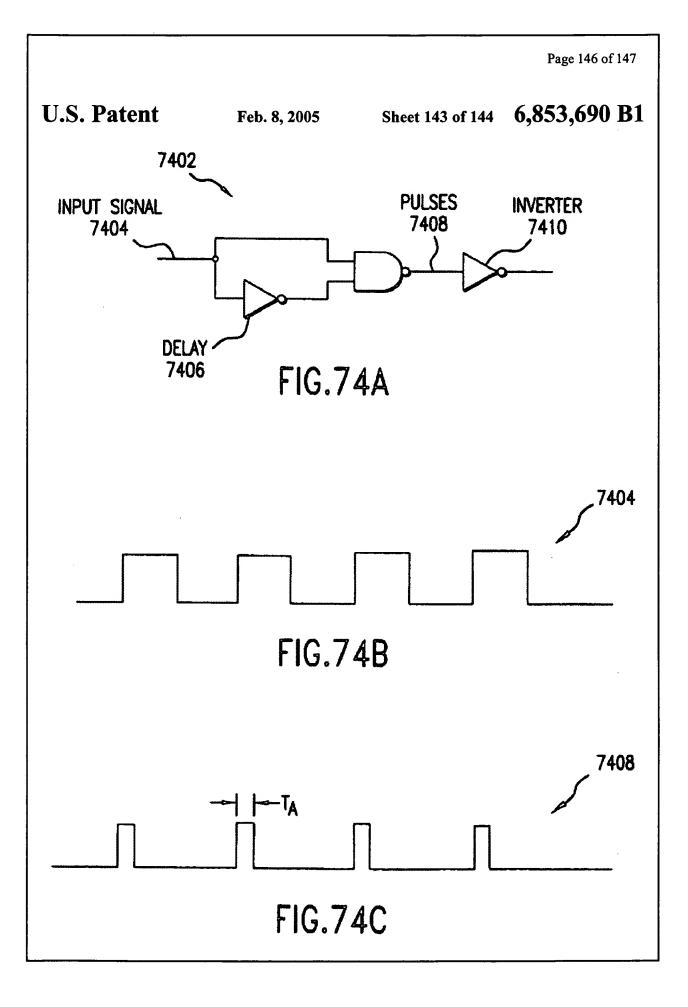






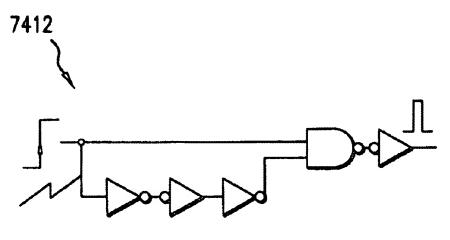






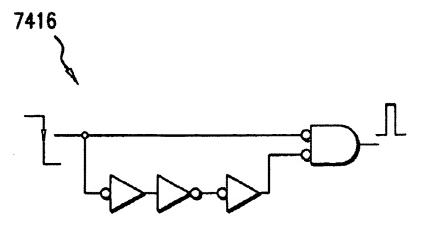
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RISING EDGE PULSE GENERATOR

FIG.74D



FALLING-EDGE PULSE GENERATOR

FIG.74E